

The Potential of Minimal Flavour Violation

Belén Gavela

Universidad Autónoma de Madrid (UAM) and IFT

with R. Alonso, L. Merlo, S. Rigolin

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Beyond Standard Model because

1) Experimental evidence for new particle physics:

***** Neutrino masses**

***** Dark matter**

**** Matter-antimatter asymmetry**

2) Uneasiness with SM fine-tunings

SM

$SU(3) \times SU(2) \times U(1) \times \text{classical gravity}$

We ~understand ordinary particles= excitations over the vacuum

We DO NOT understand the vacuum = state of lowest energy:

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* The **electroweak** vacuum: Higgs-field, v.e.v. $\sim O(100) \text{ GeV}$

The (Tevatron->) LHC allow us to explore it



The happiness

in the air

of the LHC era

... as we are almost “touching” the Higgs

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The Higgs excitation has the quantum numbers of the EW vacuum



BSM because

1) Experimental evidence for new particle physics:

***** Neutrino masses**

***** Dark matter**

**** Matter-antimatter asymmetry**

2) Uneasiness with SM fine-tunings, i.e. electroweak:

***** Hierarchy problem**

***** Flavour puzzle**

BSM electroweak

* **HIERARCHY PROBLEM**

Fine-tuning issue: **if** BSM physics, why Higgs so light

Interesting mechanisms to solve it from SUSY;
strong-int. Higgs, extra-dim....

In practice, none without further fine-tunings

* **FLAVOUR PUZZLE**

* All quark flavour data are \sim consistent
with SM

Kaon sector, B-factories, accelerators....

There are some ~ 2 - 3 sigma anomalies around, though:

- $\sin 2\beta$ in CKM fit (Lunghi, Soni, Buras, Guadagnoli, UTfit, CKMfitter)
- anomalous like-sign dimuon charge asymmetry in B_s decays (D0)
- $B \rightarrow \tau\nu$ (UTfit)

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~~--- anomalous like-sign dimuon charge asymmetry in B_s decays (D0) ---~~

-- $B \rightarrow \tau \nu$ (UTfit) LHC

* Neutrino masses indicate BSM.... yet consistent with 3 standard families

-- in spite of some 2-3 sigma anomalies:

* Minos, 2 sigma, neutrinos differ from antineutrinos

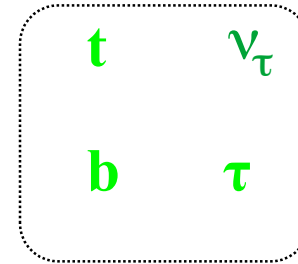
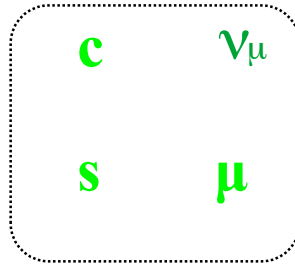
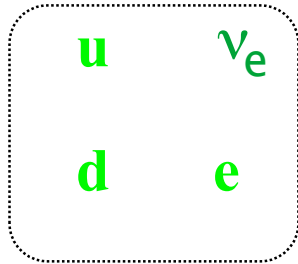
* Hints of steriles: LSND and MiniBoone in antineutrinos, new deficit in Chooz ν_e fluxes, Gallex deficit in $\bar{\nu}_e$, cosmological-radiation, solar...

Disregarding some 2-3 σ anomalies...

- * All quark flavour data are \sim consistent with SM
- * Neutrino masses indicate BSM.... yet consistent with 3 standard families

yet....we do NOT understand flavour

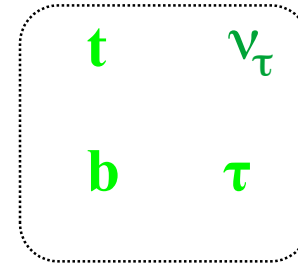
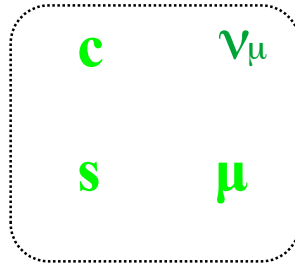
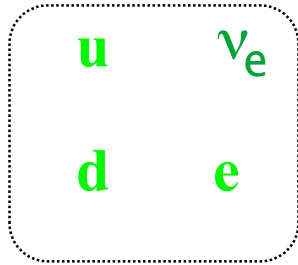
The Flavour Puzzle



Why 2 replicas of the first family?

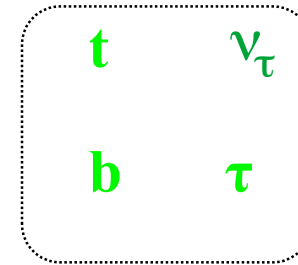
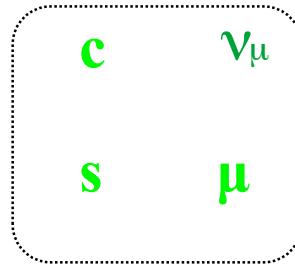
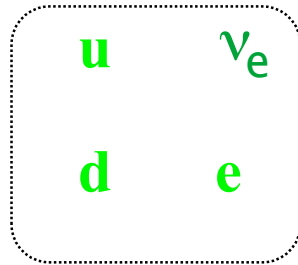
when we only need one to account for the visible universe

The Flavour Puzzle



Why so different masses and mixing angles?

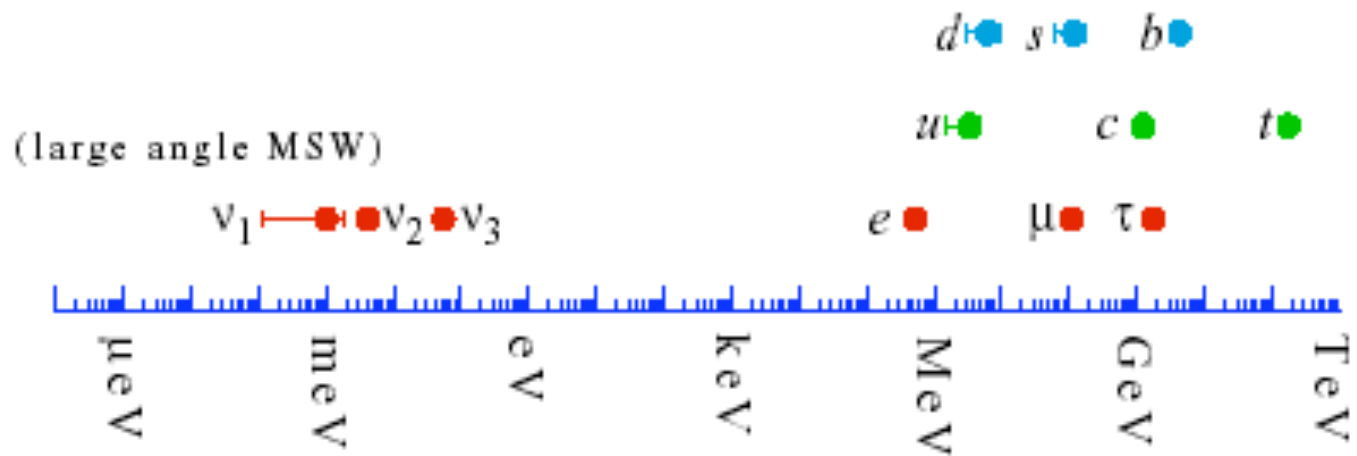
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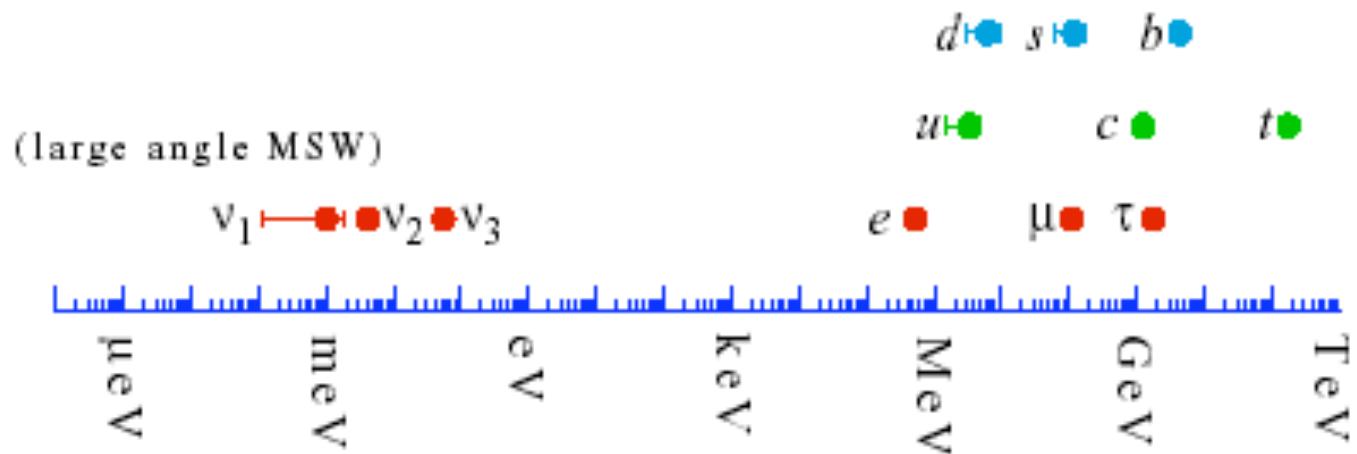
Why has nature chosen the number and properties of families so as to allow for CP violation... and explain nothing? (i.e. not enough for matter-antimatter asymmetry)

Neutrino light on flavour ?

The Higgs mechanism can accommodate masses in SM... but neutrinos (?)



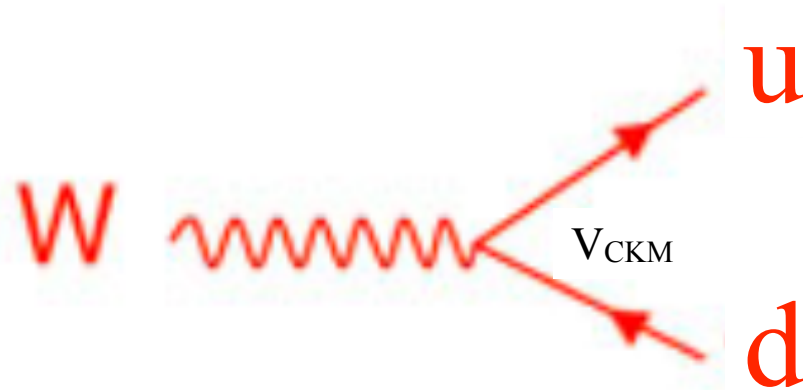
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Neutrinos lighter because Majorana?

Lepton mixing in charged currents

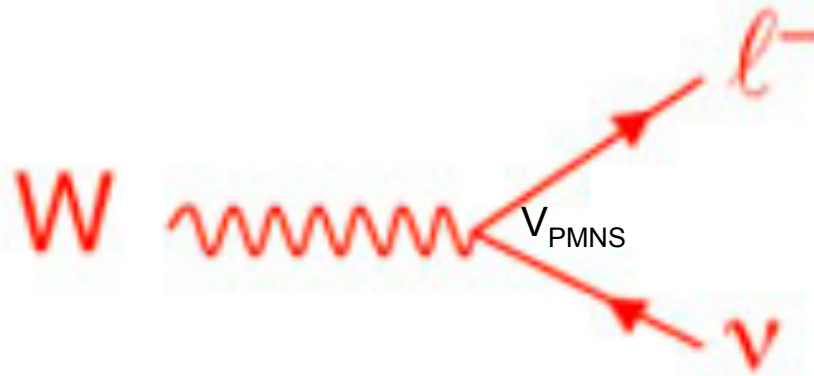
Quarks



$$V_{\text{CKM}} = \begin{pmatrix} c_{13}c_{12} & s_{12}c_{13} & s_{13}e^{i\delta} \\ -s_{12}c_{23} - s_{23}s_{13}c_{12}e^{-i\delta} & c_{12}c_{23} - s_{23}s_{13}s_{12}e^{-i\delta} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}s_{13}c_{12}e^{-i\delta} & -s_{23}c_{12} - c_{23}s_{13}s_{12}e^{-i\delta} & c_{23}c_{13} \end{pmatrix}$$

Lepton mixing in charged currents

Leptons



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More wood for the Flavour Puzzle

$$V_{\text{PMNS}} = \begin{array}{c} \text{Leptons} \\ \\ \\ \end{array} \begin{pmatrix} 0.8 & 0.5 & ?(<10^\circ) \\ -0.4 & 0.5 & -0.7 \\ -0.4 & 0.5 & +0.7 \end{pmatrix}$$
$$V_{\text{CKM}} = \begin{array}{c} \text{Quarks} \\ \\ \\ \end{array} \begin{pmatrix} \sim 1 & \lambda & \lambda^3 \\ \lambda & \sim 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & \sim 1 \end{pmatrix} \lambda \sim 0.2$$

Why so different?

More wood for the Flavour Puzzle

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Maybe because of Majorana neutrinos?

Dirac or Majorana ?

- **The only thing we have really understood in particle physics is the gauge principle**
- **$SU(3) \times SU(2) \times U(1)$ allow Majorana masses....**

Lepton number was only an accidental symmetry of the SM

Anyway, it is for experiment to decide

BSM electroweak

* **HIERARCHY PROBLEM**

Fine-tuning issue: **if** BSM physics, why Higgs so light

Interesting mechanisms to solve it from SUSY;
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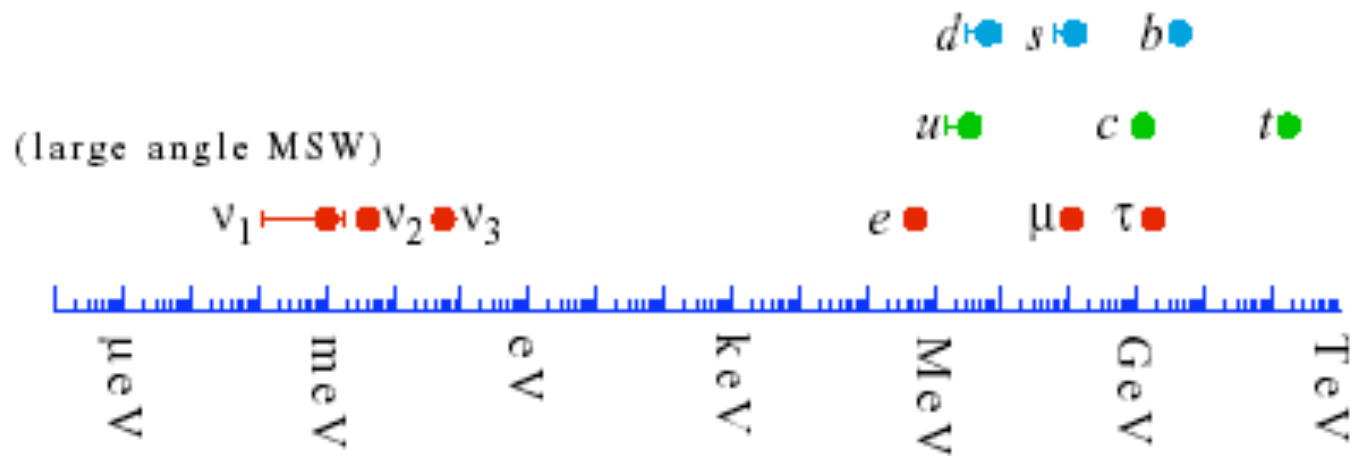
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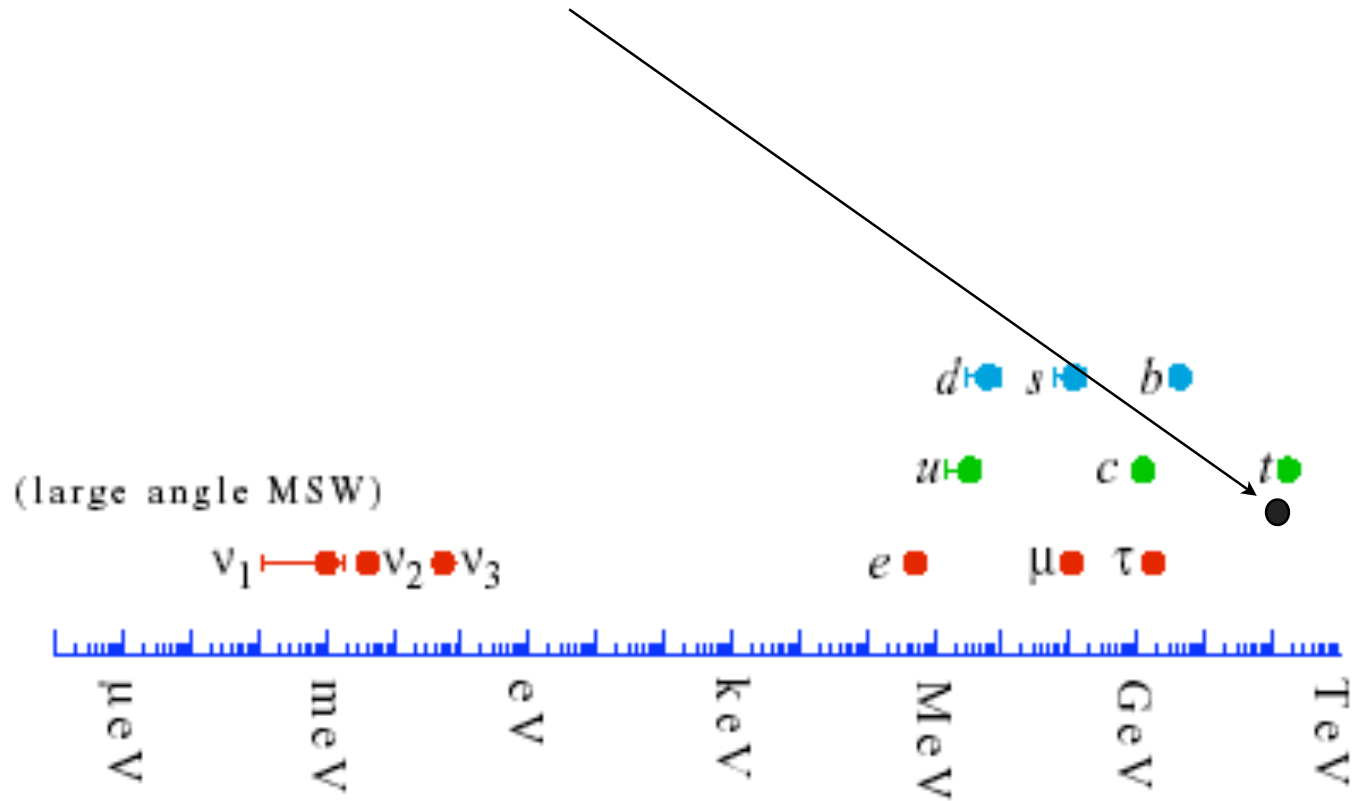
* **FLAVOUR PUZZLE**: no progress

Understanding stalled since 30 years.

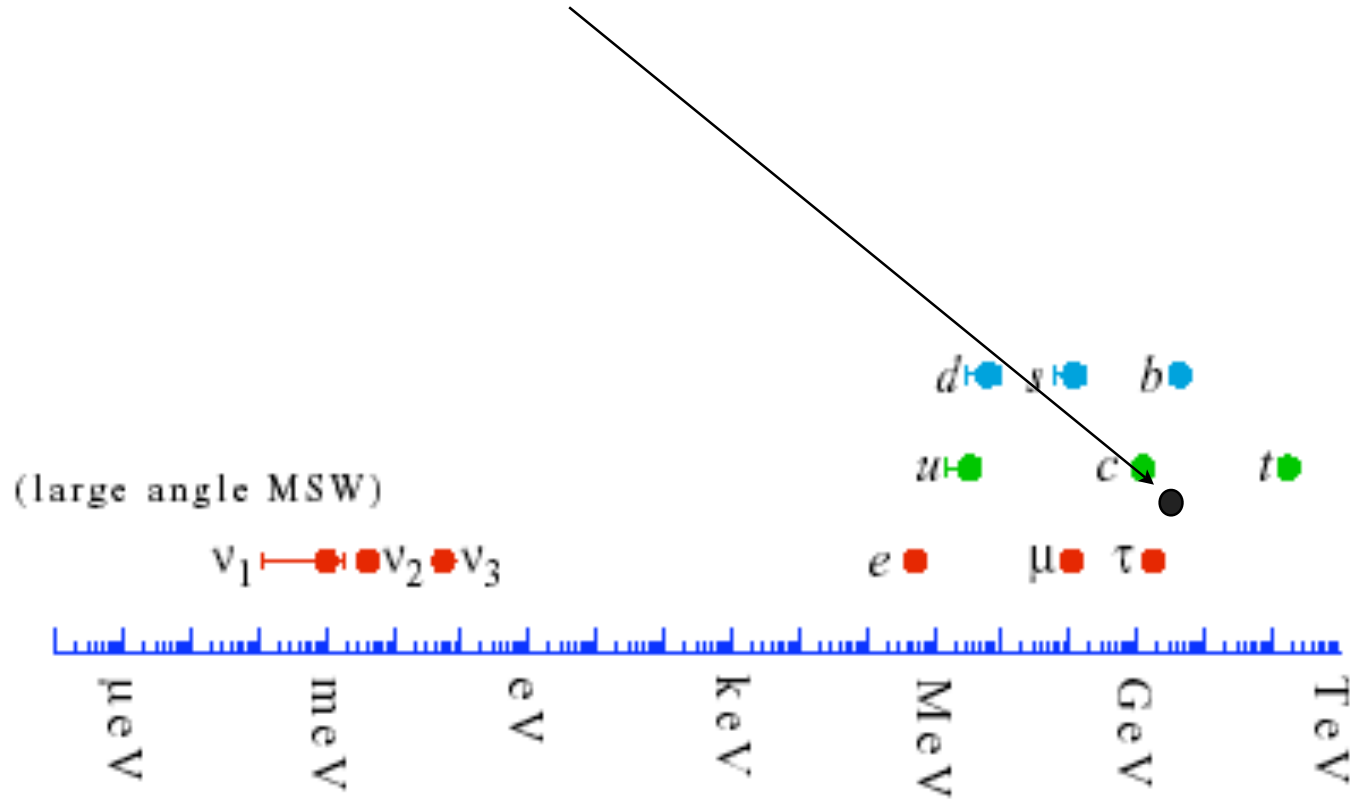
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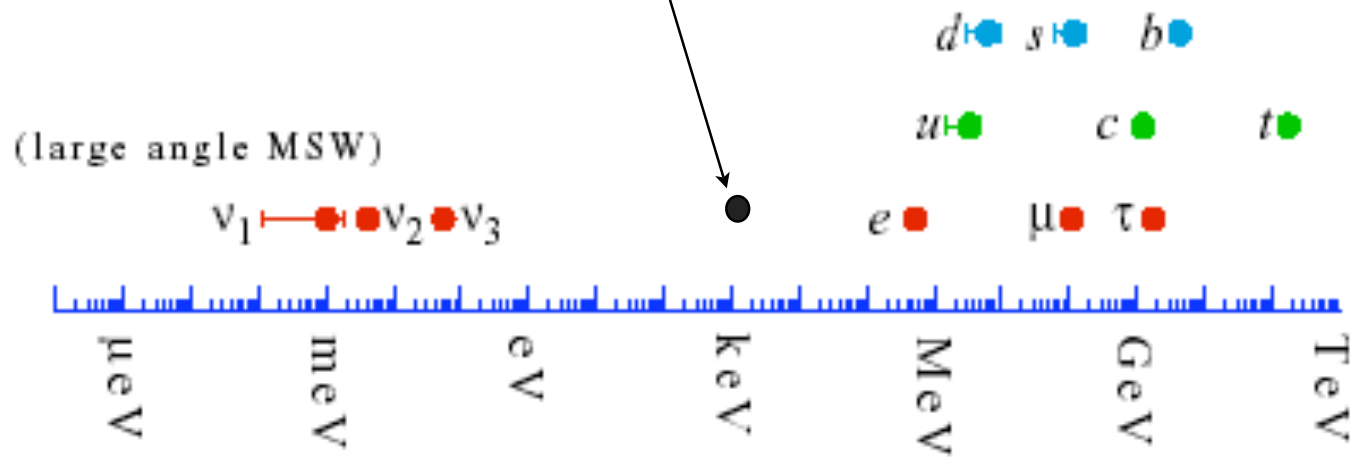
DARK FLAVOURS ?



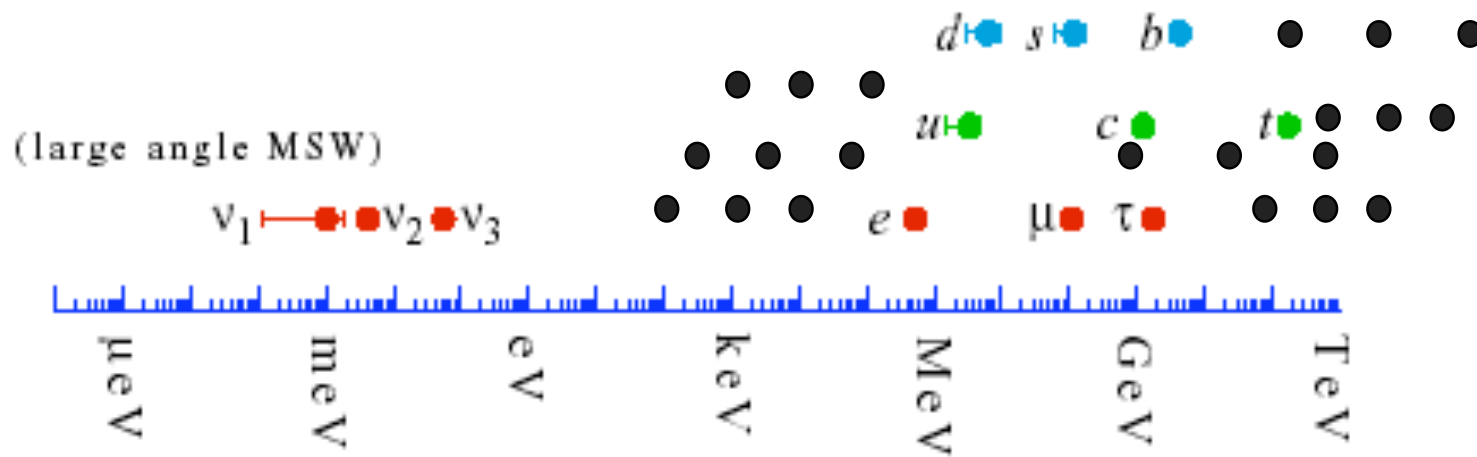
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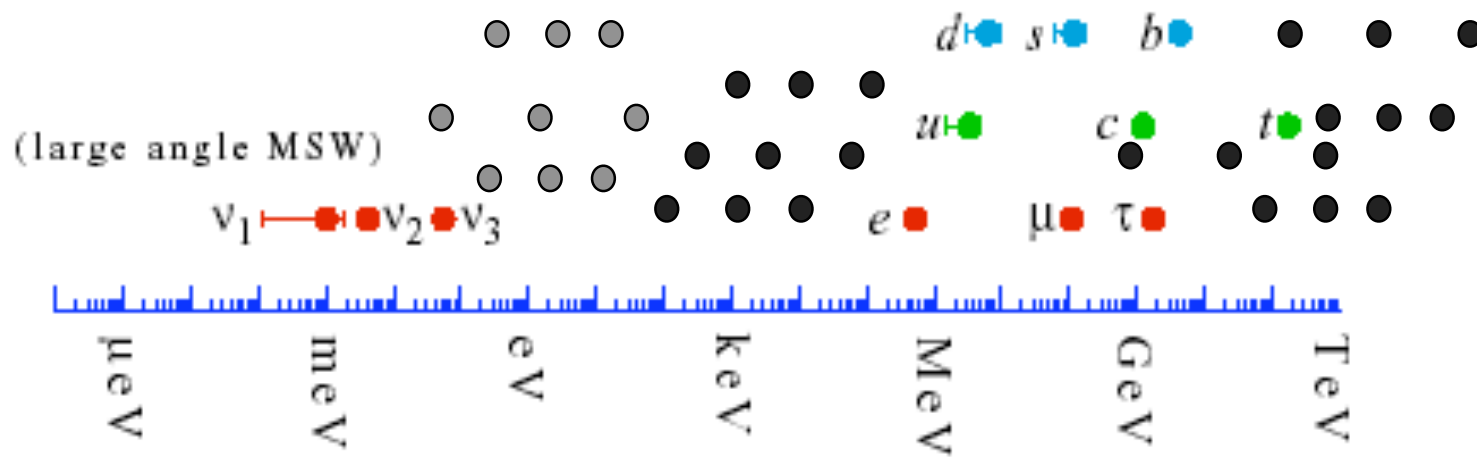
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→ $\Lambda_{\text{electroweak}} \sim 1 \text{ TeV} ?$

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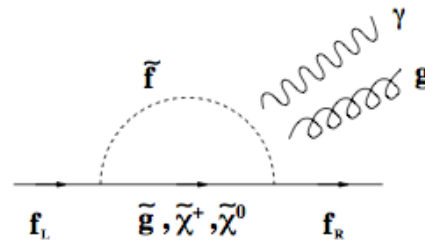
Only new B physics data **AND** neutrino masses and mixings

→ $\Lambda_f \sim 100\text{'s TeV} ???$

BSMs tend to worsen the flavour puzzle

The FLAVOUR WALL for BSM

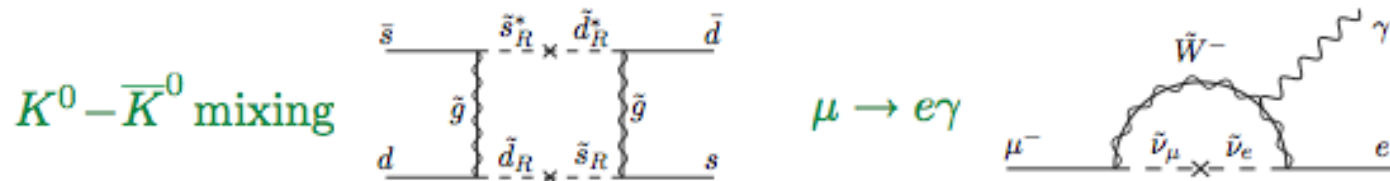
- i) Typically, BSMs have **electric dipole moments** at one loop
i.e susy MSSM:



< 1 loop in SM ---> **Best (precision) window of new physics**

- ii) **FCNC**

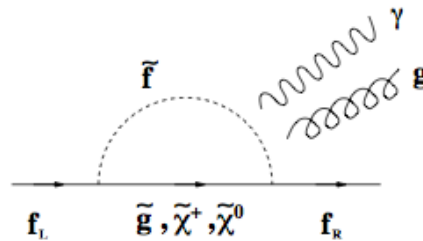
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competing with SM at one-loop

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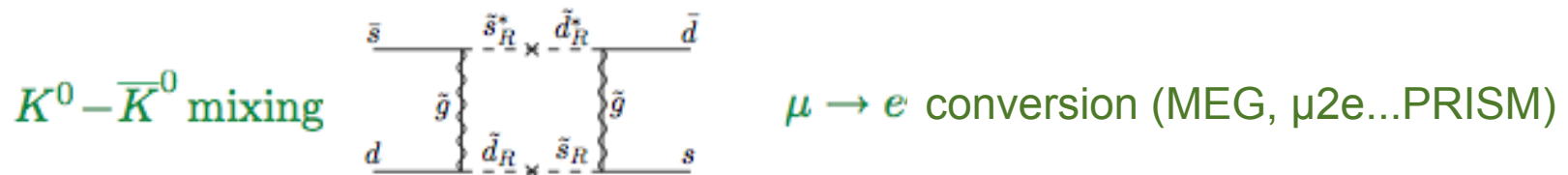
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competing with SM at one-loop

The FLAVOUR WALL for BSM

* The **QCD** vacuum : Strong CP problem, $\theta_{\text{QCD}} < 10^{-10}$

BSM in general induce $\theta_{\text{QCD}} > 10^{-10}$



* The **matter-antimatter asymmetry** : CP-violation from quarks in SM fails by ~ 10 orders of magnitude (+ too heavy Higgs)

How to advance in a model-independent way?

- In quark flavour puzzle
- In lepton flavour puzzle

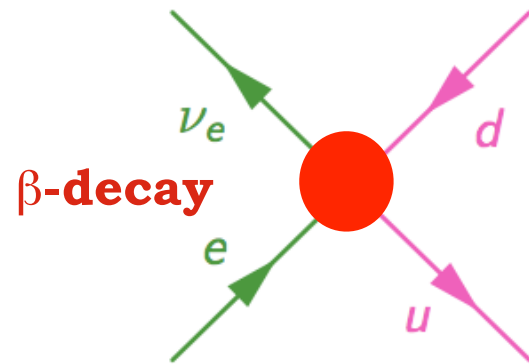
How to go about it model-independent ?....

Effective field theory

Mimic travel from Fermi's beta decay
to SM

$$\mathcal{L}^{\text{Fermi}} = \mathcal{L}_{\text{U(1)em}} + \frac{\mathcal{O}^{\text{Fermi}}}{M^2} + \dots$$

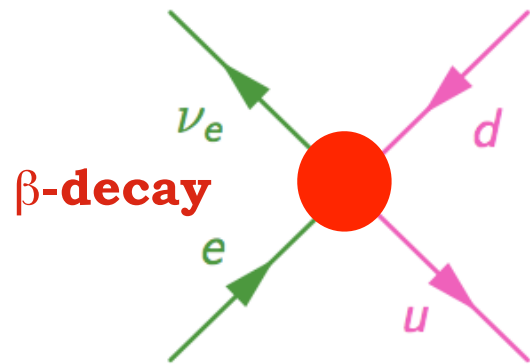
From the Fermi theory to SM



$$G_F (\bar{e}_L \gamma^\mu \nu_L^e) (\bar{u} \gamma_\mu d_L)$$

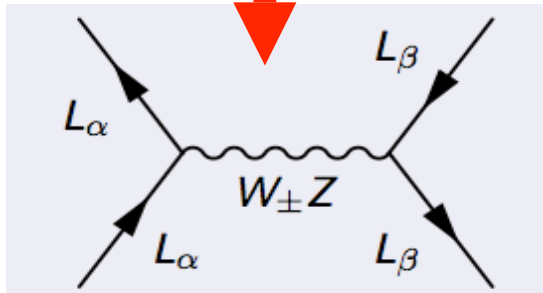
$U(1)_{em}$ invariant

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$U(1)_{em}$ invariant



$$\frac{g^2}{M_W^2} (L_\alpha \gamma_\mu L_\alpha) (Q_{L\beta} \gamma_\mu Q_\beta)$$

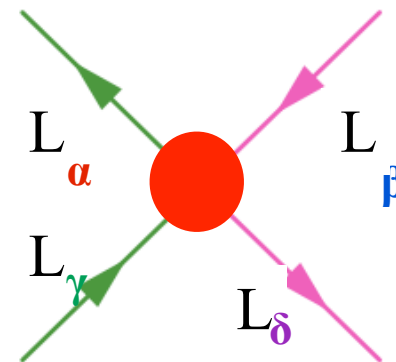
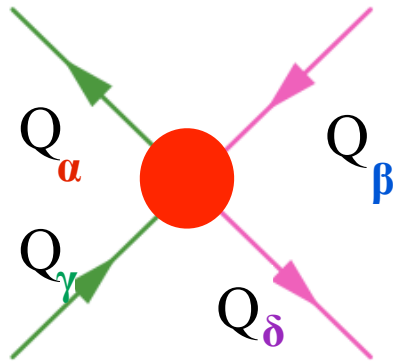
$SU(2) \times U(1)_{em}$ gauge invariant

If new physics scale $M > v$

$$\mathcal{L} = \mathcal{L}_{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)} + \frac{\mathcal{O}^{d=5}}{M} + \frac{\mathcal{O}^{d=6}}{M^2} + \dots$$

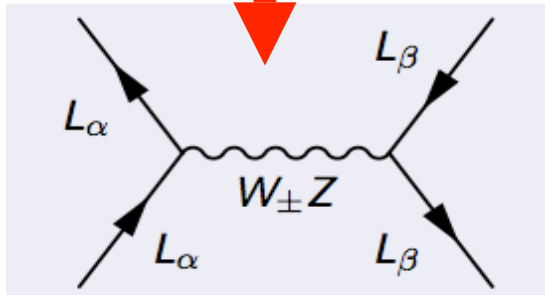
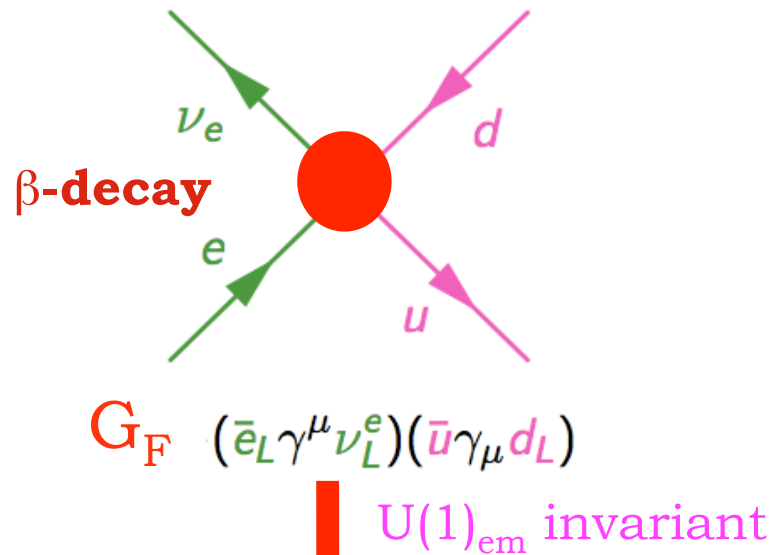
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$\mathcal{O}^{\text{d}=6}$: conserve **B, L...** and lead to new flavour effects for quarks and leptons



$\text{SU}(2) \times \text{U}(1)_{\text{em}}$ gauge invariant

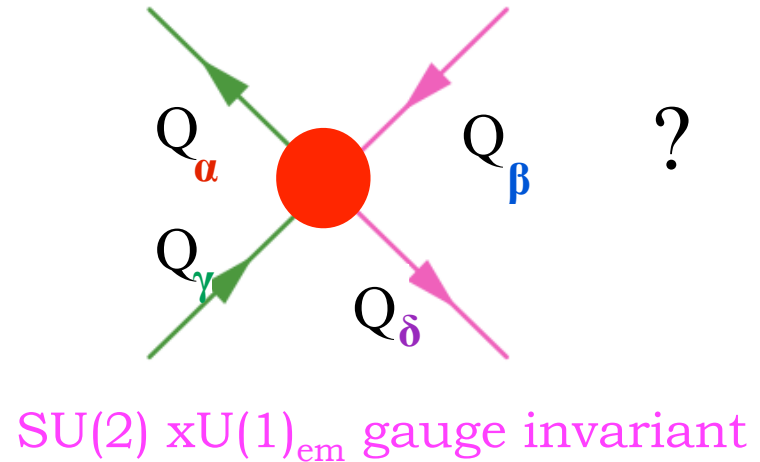
From the Fermi theory to SM



$$\frac{g^2}{M_W^2} (L_\alpha \gamma_\mu L_\alpha) (Q_{L\beta} \gamma_\mu Q_\beta)$$

$SU(2) \times U(1)_{em}$ gauge invariant

From the SM to the theory of flavour



?

The Theory of Flavour

A humble ansatz:

- Minimal Flavour Violation

(Chivukula, Georgi)

(D'Ambrosio, Giudice, Isidori, Strumia)(Buras)

A humble ansatz:

- Minimal Flavour Violation

....taking laboratory data at face value

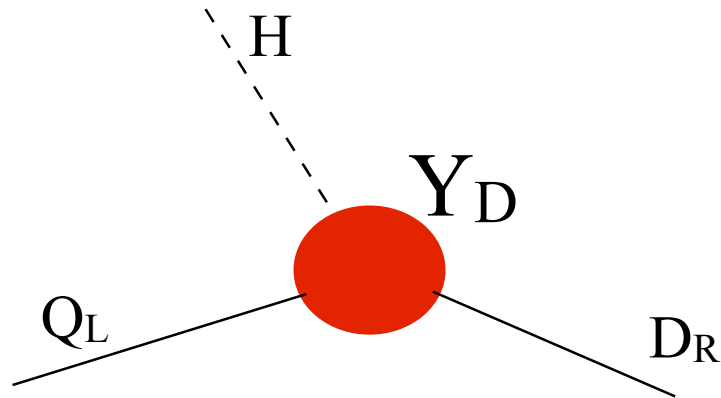
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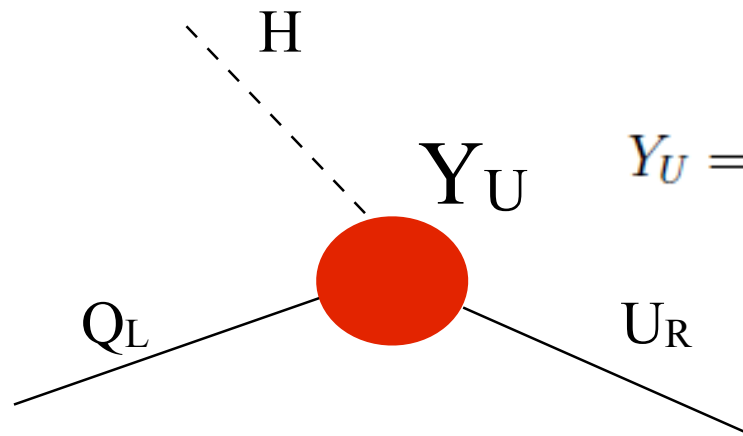
* All quark flavour data are consistent with
SM

= consistent with CKM

**= consistent with all flavour effects due to
Yukawas**



$$Y_D = \begin{pmatrix} y_d & 0 & 0 \\ 0 & y_s & 0 \\ 0 & 0 & y_b \end{pmatrix}$$



$$Y_U = \mathcal{V}_{CKM}^\dagger \begin{pmatrix} y_u & 0 & 0 \\ 0 & y_c & 0 \\ 0 & 0 & y_t \end{pmatrix}$$

Minimal Flavour violation (MFV)

- Flavour data (i.e. B physics) consistent with all flavour physics coming from Yukawas

MFV Hypothesis \equiv The Yukawas are the only sources (*irreducible*) of flavour violation. in the SM and BSM

R. S. Chivukula and H. Georgi, Phys. Lett. B 188, 99 (1987).

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The global Flavour symmetry of the SM with massless fermions:

$$G_f = SU(3)_{Q_L} \times SU(3)_u \times SU(3)_d \times SU(3)_L \times SU(3)_e$$

$$Q_L \rightarrow \Omega_L Q_L \quad D_R \rightarrow \Omega_d D_R \dots$$

$$D_R = (d_R, s_R, b_R) \sim (1, 1, 3)$$

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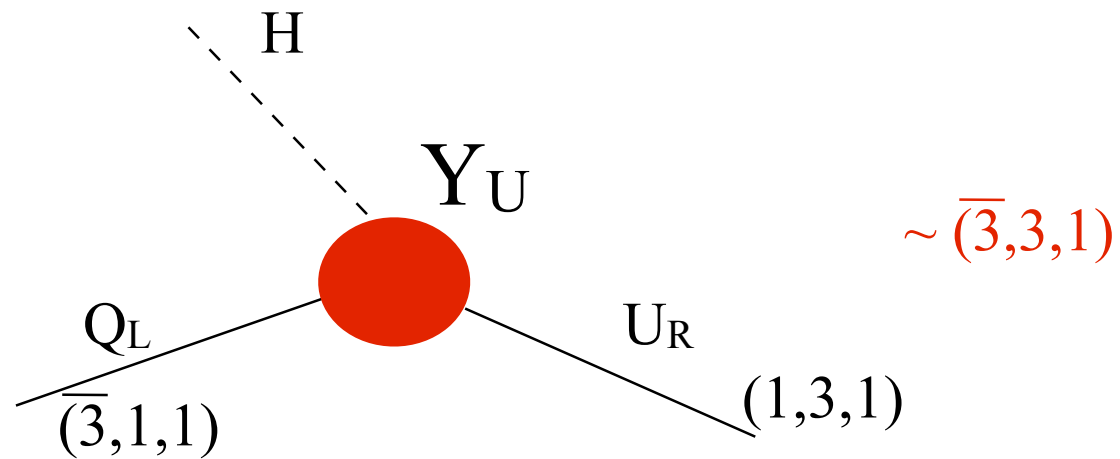
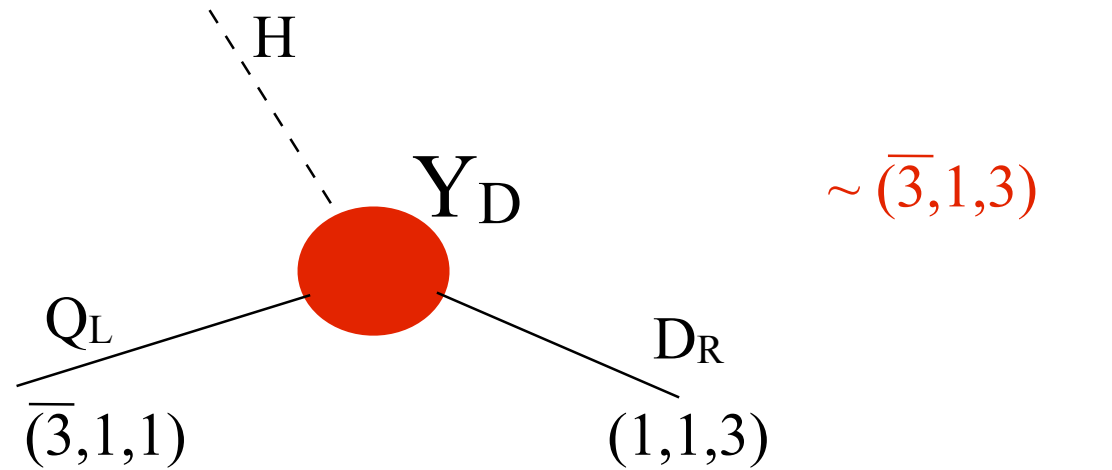
The global Flavour symmetry of the SM: Yukawas break it

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$$Q_L \rightarrow \Omega_L Q_L \quad D_R \rightarrow \Omega_d D_R \dots$$

$$D_R = (d_R, s_R, b_R) \sim (1, 1, 3)$$

$$G_f = SU(3)_{Q_L} \times SU(3)_{U_R} \times SU(3)_{D_R}$$



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$$G_f = SU(3)_{Q_L} \times SU(3)_u \times SU(3)_d \times SU(3)_L \times SU(3)_e$$

$$Q_L \rightarrow \Omega_L Q_L \quad D_R \rightarrow \Omega_d D_R \quad \dots \quad Y_d \rightarrow \Omega_L Y_u \Omega_d^+ \dots$$

$$D_R = (d_R, s_R, b_R) \sim (1, 1, 3)$$

$$\bar{Q}_L Y_D D_R H$$

$$Y_D \sim (3, 1, \bar{3})$$

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R. S. Chivukula and H. Georgi, Phys. Lett. B 188, 99 (1987).

It is very predictive for quarks:

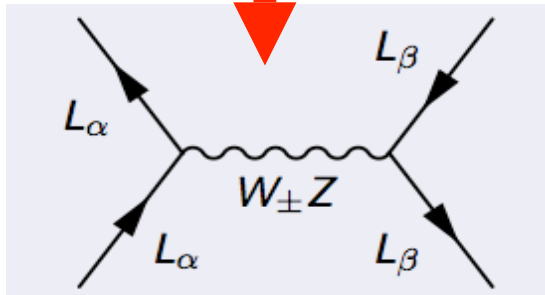
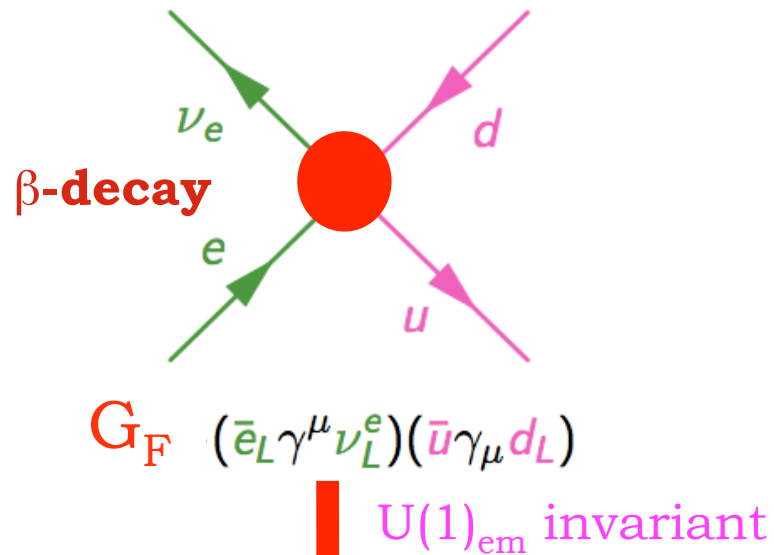
$$O^{d=6} \sim \bar{Q}_\alpha Q_\beta \bar{Q}_\gamma Q_\delta$$

$$\mathcal{L} = \mathcal{L}_{SM} + c^{d=6} O^{d=6} + \dots$$

i.e.

$$c^{d=6} \sim \frac{Y_{\alpha\beta}^+ Y_{\gamma\delta}}{\Lambda_{\text{flavour}}^2} \quad O^{d=6} \sim \bar{Q}_\alpha Q_\beta \bar{Q}_\gamma Q_\delta$$

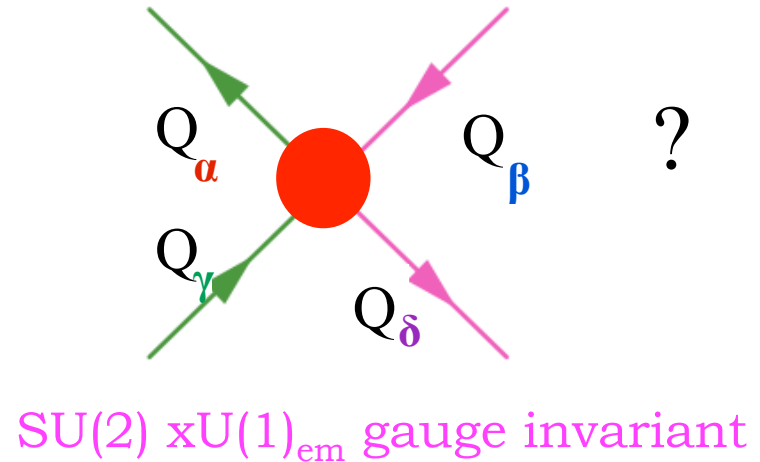
From the Fermi theory to SM



$$\frac{g^2}{M_W^2} (L_\alpha \gamma_\mu L_\alpha) (Q_{L\beta} \gamma_\mu Q_\beta)$$

$SU(2) \times U(1)_{em}$ gauge invariant

From the SM to the theory of flavour

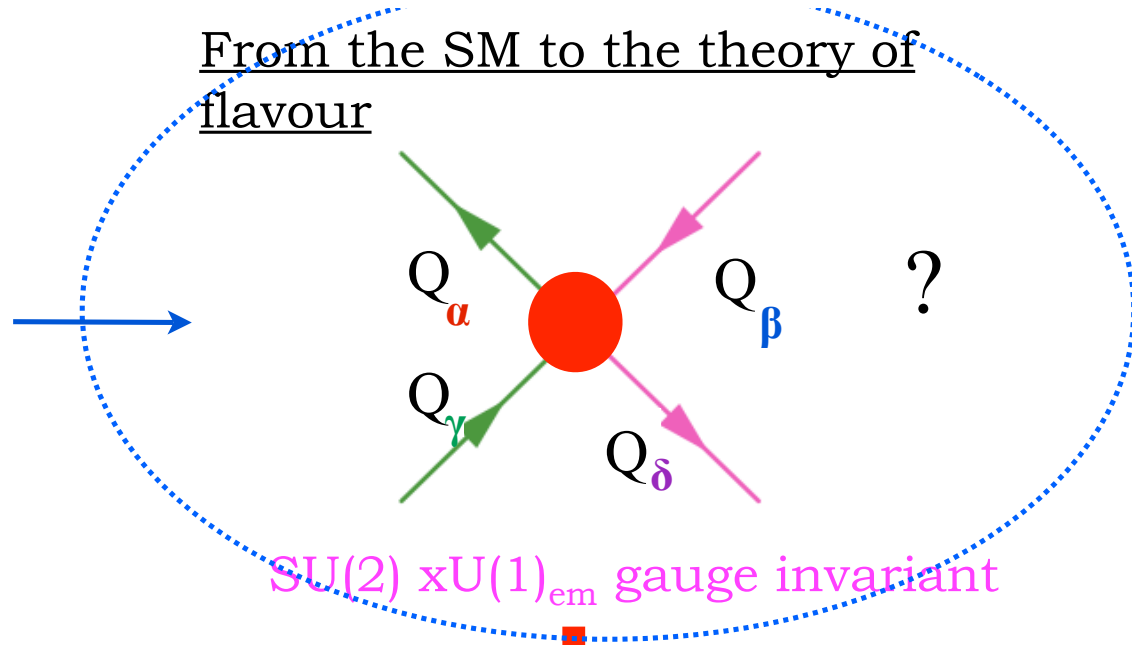


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The Theory of Flavour

**MFV IS NOT A MODEL
OF FLAVOUR**

IT REMAINS AT THIS LEVEL



?

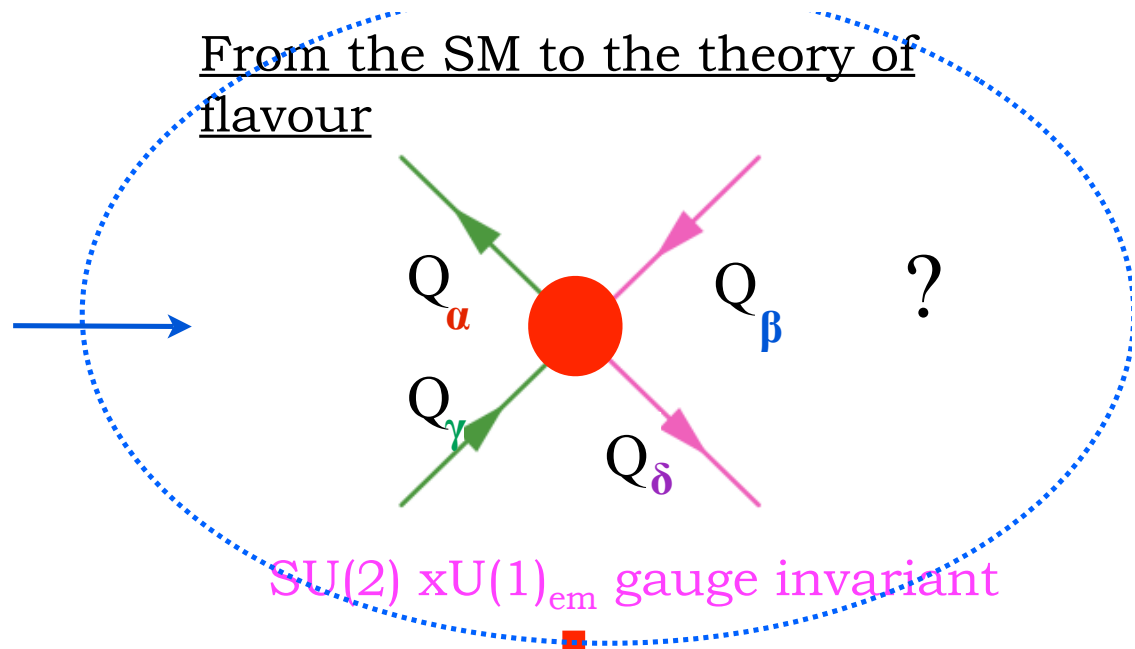
The Theory of Flavour

MFV IS NOT A MODEL OF FLAVOUR

IT REMAINS AT THIS LEVEL

$$C^{d=6} \sim \frac{Y_{\alpha\beta}^+ Y_{\gamma\delta}}{\Lambda_{\text{flavour}}^2}$$

From the SM to the theory of flavour



SU(2) x U(1)_{em} gauge invariant

?

The Theory of Flavour

A rationale for the MFV ansatz?

- Flavour data (i.e. B physics) consistent with all flavour physics coming from Yukawa
- Inspired in “condensate” flavour physics a la Froggatt-Nielsen (Yukawas $\sim \langle \overline{\Psi\Psi} \rangle^n / \Lambda_f^n$, rather than in susy-like options
- It makes you think on the relation between scales: electroweak vs. flavour vs lepton number scales

* **MFV** can reconcile Λ_f and $\Lambda_{\text{electroweak}}$:

$$\Lambda_f \sim \Lambda_{\text{electroweak}} \sim \text{TeV}$$

... and induce observable flavour changing effects

WHY MFV?

FOR QUARKS

- Hierarchy Problem points to $\Lambda \sim \text{TeV}$

$\mathcal{O}_{d=6}^i$	Λ_f	$C_{d=6} = 1$
$(\bar{s}_L \gamma^\mu d_L)^2$	9.8×10^2	1.6×10^4
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	1.8×10^4	3.2×10^5
$(\bar{c}_L \gamma^\mu u_L)^2$	1.2×10^3	2.9×10^3
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	6.2×10^3	1.5×10^4
$(\bar{b}_L \gamma^\mu d_L)^2$	5.1×10^2	9.3×10^2
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	1.9×10^3	3.6×10^3
$(\bar{b}_L \gamma^\mu s_L)^2$		1.1×10^2
$(\bar{b}_R s_L)(\bar{b}_L s_R)$		3.7×10^2

$C_{d=6} \equiv C_{d=6}(Y_u, Y_d)$

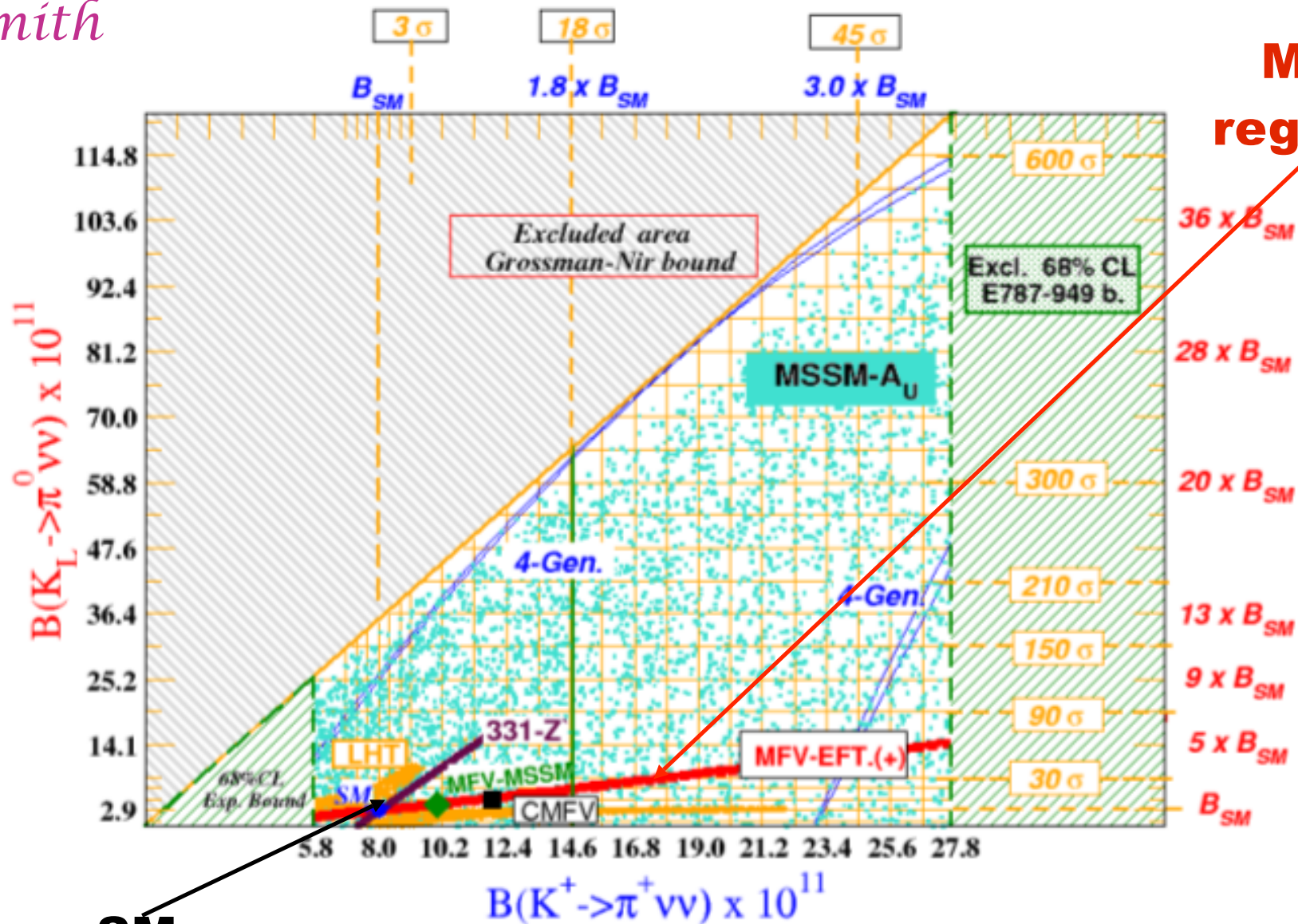
$\mathcal{O}_{d=6}^i$	Λ_f
$H^\dagger (\bar{D}_R Y^{d\dagger} Y^u Y^{u\dagger} \sigma_{\mu\nu} Q_L) (e F_{\mu\nu})$	6.1 TeV
$\frac{1}{2} (\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L)^2$	5.9 TeV
$H_D^\dagger (\bar{D}_R Y^{d\dagger} Y^u Y^{u\dagger} \sigma_{\mu\nu} T^a Q_L) (g_s G_{\mu\nu}^a)$	3.4 TeV
$(\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L) (\bar{E}_R \gamma_\mu E_R)$	2.7 TeV
$i (\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L) H_U^\dagger D_\mu H_U$	2.3 TeV
$(\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L) (\bar{L}_L \gamma_\mu L_L)$	1.7 TeV
$(\bar{Q}_L Y^u Y^{u\dagger} \gamma_\mu Q_L) (e D_\mu F_{\mu\nu})$	1.5 TeV

WITHOUT MFV: $\Lambda_f \gtrsim 10^2 \text{ TeV}$

WITH MFV: $\Lambda_f \gtrsim \text{TeV}$

I. NA62 main targets are the rare K decays ($Br \lesssim 10^{-11}$), e.g. $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ s

Smith



MFV region

SM

The Dynamics Behind MFV

MFV suggests that Y_U & Y_D have a dynamical origin at high energies

$$Y \sim \langle \Phi \rangle \text{ or } \langle \Phi \chi \rangle \text{ or } \langle ()^n \rangle \dots$$

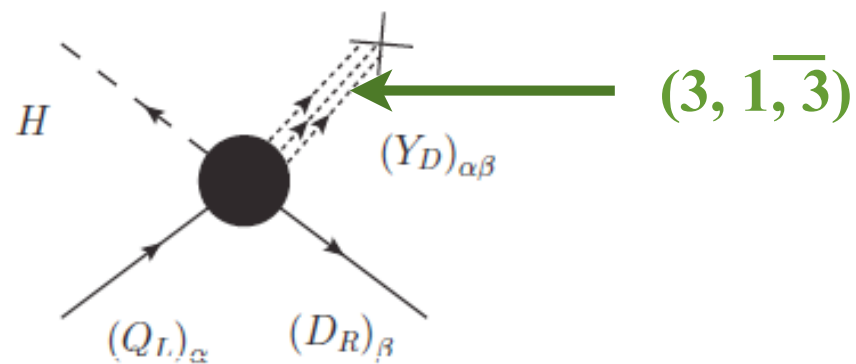
Spontaneous breaking of flavour symmetry dangerous

--> i.e. gauge it (Grinstein, Redi, Villadoro, 2010)
(Feldman, 2010)
(Guadagnoli, Mohapatra, Sung, 2010)

The Dynamics Behind MFV

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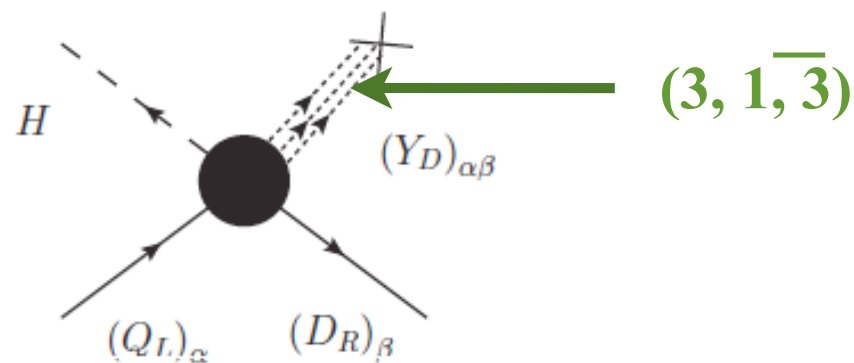


(Alonso, Gavela, Merlo, Rigolin, arXiv 1103.2915)

The Dynamics Behind MFV

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That scalar field or aggregate of fields may have a potential

(Alonso, Gavela, Merlo, Rigolin, arXiv 1103.2915)

The Dynamics Behind MFV

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***What is the potential of Minimal Flavour Violation ?**

(Alonso, Gavela, Merlo, Rigolin, arXiv 1103.2915)

The Dynamics Behind MFV

MFV suggests that Y_U & Y_D have a dynamical origin at high energies

$$Y \sim \langle \Phi \rangle \text{ or } \langle \Phi \chi \rangle \text{ or } \langle ()^n \rangle \dots$$

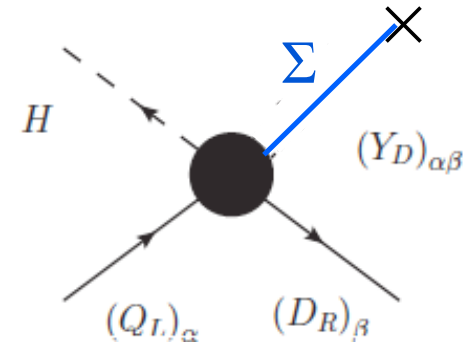
***What is the potential of Minimal Flavour Violation ?**

***Can its minimum correspond naturally to the observed masses and mixings?**

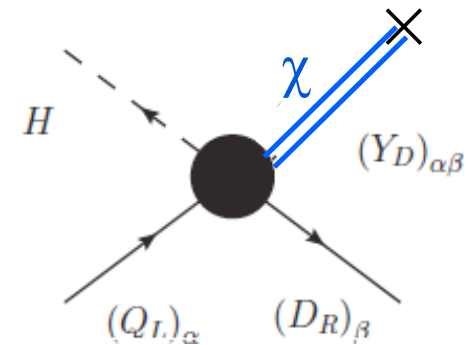
(Alonso, Gavela, Merlo, Rigolin, arXiv 1103.2915)

We constructed the scalar potential for both 2 and 3 families, for scalar fields:

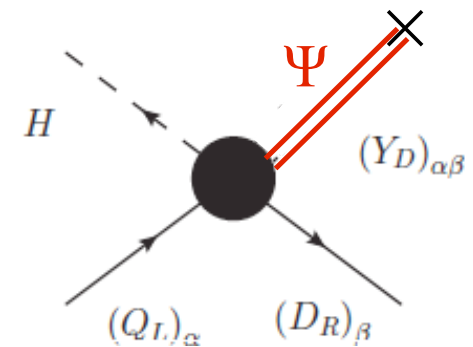
1) $Y \rightarrow$ one single scalar $\Sigma \sim (3, 1, \bar{3})$



2) $Y \rightarrow$ two scalars $\chi \chi^+ \sim (3, 1, \bar{3})$

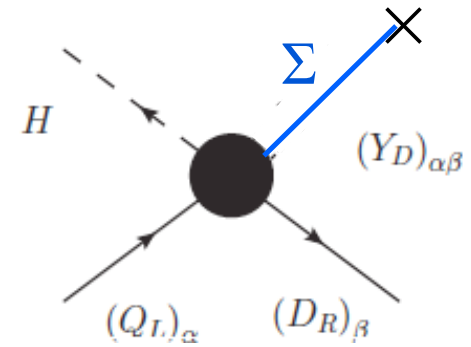


3) $Y \rightarrow$ two fermions $\bar{\Psi}\Psi \sim (3, 1, 3)$

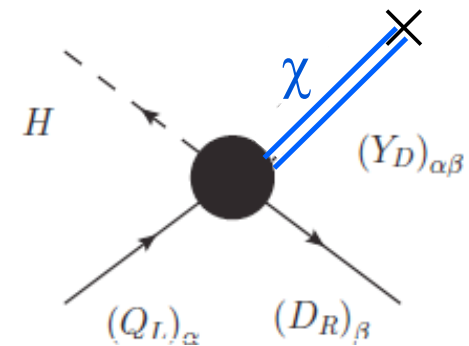


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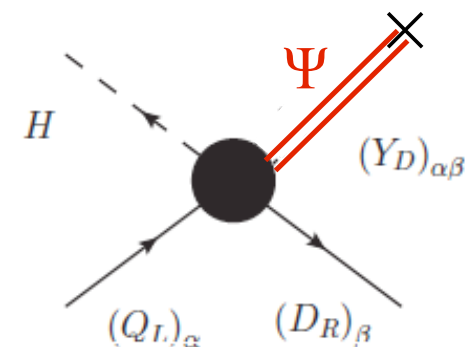
1) $Y \rightarrow$ one single scalar $\Sigma \sim (3, 1, \bar{3})$
d=5 operator



2) $Y \rightarrow$ two scalars $\chi \chi^+ \sim (3, 1, \bar{3})$
d=6 operator

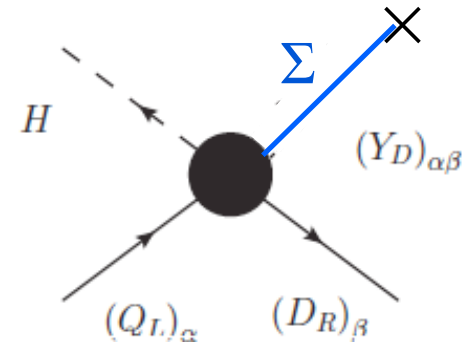


3) $Y \rightarrow$ two fermions $\bar{\Psi}\Psi \sim (3, 1, 3)$
d=7 operator



1) $Y \rightarrow$ one single field Σ

$$Y \sim \frac{\langle \Sigma \rangle}{\Lambda_f}$$



* What is the general potential $V(\Sigma, H)$ invariant under $SU(3) \times SU(2) \times U(1)$ and G_f ?

$$\mathbf{Y}_u \longleftrightarrow \langle \Sigma_u \rangle ; \quad \mathbf{Y}_d \longleftrightarrow \langle \Sigma_d \rangle$$

Construction of the Potential

* two families: 5 invariants at renormalizable level:

(Feldman, Jung, Mannel)

$$\text{Tr} (\Sigma_u \Sigma_u^+) \quad \det (\Sigma_u)$$

$$\text{Tr} (\Sigma_d \Sigma_d^+) \quad \det (\Sigma_d)$$

$$\text{Tr} (\Sigma_u \Sigma_u^+ \Sigma_d \Sigma_d^+)$$

* non-renormalizable terms are simply functions of those !

Y --> one single field Σ

We constructed the most general potential :

$$V(\Sigma_u, \Sigma_d) = \sum_i [-\mu_i^2 \text{Tr}(\Sigma_i \Sigma_i^+) - \tilde{\mu}_i^2 \det(\Sigma_i)] \\ + \sum_{i,j} [\lambda_{ij} \text{Tr}(\Sigma_i \Sigma_i^+) \text{Tr}(\Sigma_j \Sigma_j^+) + \tilde{\lambda}_{ij} \det(\Sigma_i) \det(\Sigma_j)] + \dots$$

it only relies on G_f symmetry

and analyzed its minima

(Alonso, Gavela, Merlo, Rigolin, arXiv 1103.2915)

Y --> one single field Σ

The invariants can be written in terms of masses and mixing

* two families:

$$\langle \Sigma_d \rangle = \Lambda_f \cdot \text{diag} (y_d) ; \quad \langle \Sigma_u \rangle = \Lambda_f \cdot V_{\text{Cabibbo}} \text{diag}(y_u)$$

$$Y_D = \begin{pmatrix} y_d & 0 \\ 0 & y_s \end{pmatrix} , \quad Y_U = \mathcal{V}_C^\dagger \begin{pmatrix} y_u & 0 \\ 0 & y_c \end{pmatrix} \quad V_{\text{Cabibbo}} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$\langle \text{Tr} (\Sigma_u \Sigma_u^+) \rangle = \Lambda_f^2 (y_u^2 + y_c^2) ; \quad \langle \det (\Sigma_u) \rangle = \Lambda_f^2 y_u y_c$$

$$\langle \text{Tr} (\Sigma_u \Sigma_u^+ \Sigma_d \Sigma_d^+) \rangle = \Lambda_f^4 [(y_c^2 - y_u^2) (y_s^2 - y_d^2) \cos 2\theta + \dots] / 2$$

Y --> one single field Σ

Minimum of the Potential

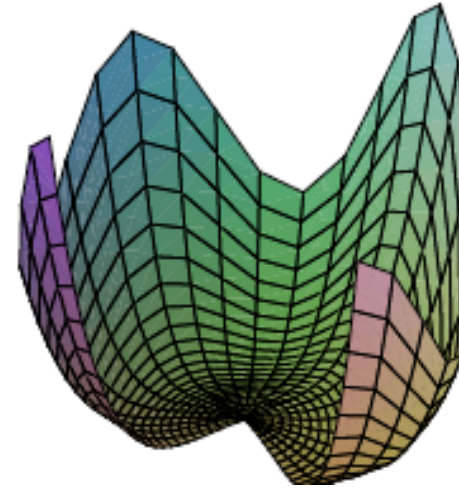
Dimension 5 Yukawa Operator

The minimum of the Potential is given by:

$$\frac{\partial V}{\partial y_i} = 0 \quad \frac{\partial V}{\partial \theta_i} = 0$$

Take the angle for example:

$$\frac{\partial V}{\partial \theta_c} \propto (y_c^2 - y_u^2) (y_s^2 - y_d^2) \sin 2\theta_c = 0$$



Non-degenerate masses $\longrightarrow \sin 2\theta_c = 0$ No mixing !

Notice also that $\frac{\partial V^{(4)}}{\partial \theta} \sim \sqrt{J}$ (Jarlskog determinant)

Y --> one single field Σ

Minimum of the Potential

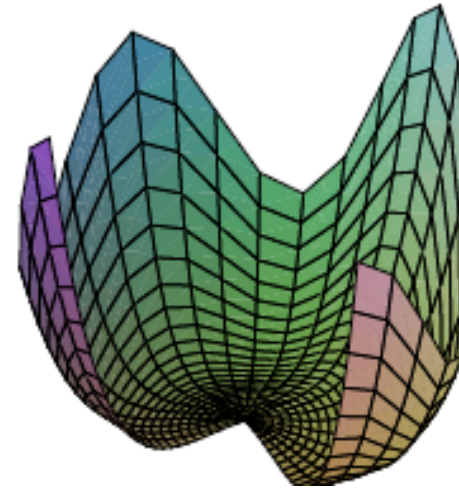
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Can the actual masses and mixings fit naturally in the minimum of the Potential? e.g. adding non-renormalizable terms...

NO

Y --> one single field Σ

Minimum of the Potential

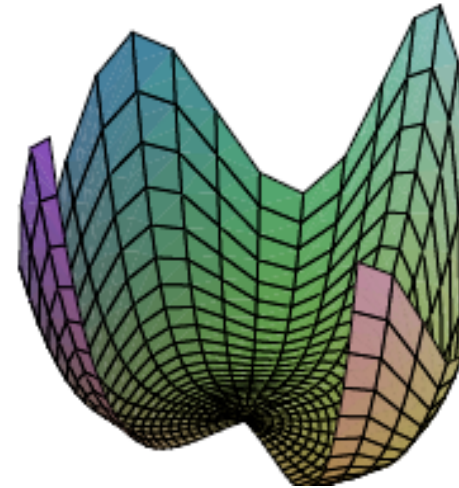
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Non-degenerate masses

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Can the actual masses and mixings fit naturally in the minimum of the Potential? e.g. adding non-renormalizable terms...

NO

* Without fine-tuning, for two families the spectrum is degenerate

* To accommodate realistic mixing one must introduce wild fine tunings of $O(10^{-10})$ and nonrenormalizable terms of dimension 8

Y --> one single field Σ

three families

* at renormalizable level: 7 invariants instead of the 5 for two families

$$\text{Tr} \left(\Sigma_u \Sigma_u^\dagger \right) \stackrel{vev}{=} \Lambda_f^2 (y_t^2 + y_c^2 + y_u^2) ,$$

$$\text{Det} (\Sigma_u) \stackrel{vev}{=} \Lambda_f^3 y_u y_c y_t ,$$

$$\text{Tr} \left(\Sigma_d \Sigma_d^\dagger \right) \stackrel{vev}{=} \Lambda_f^2 (y_b^2 + y_s^2 + y_d^2) ,$$

$$\text{Det} (\Sigma_d) \stackrel{vev}{=} \Lambda_f^3 y_d y_s y_b ,$$

$$= \text{Tr} \left(\Sigma_u \Sigma_u^\dagger \Sigma_u \Sigma_u^\dagger \right) \stackrel{vev}{=} \Lambda_f^4 (y_t^4 + y_c^4 + y_u^4) ,$$

$$= \text{Tr} \left(\Sigma_d \Sigma_d^\dagger \Sigma_d \Sigma_d^\dagger \right) \stackrel{vev}{=} \Lambda_f^4 (y_b^4 + y_s^4 + y_d^4) ,$$

$$= \text{Tr} \left(\Sigma_u \Sigma_u^\dagger \Sigma_d \Sigma_d^\dagger \right) \stackrel{vev}{=} \Lambda_f^4 (P_0 + P_{int}) ,$$

Interesting angular dependence: $P_0 \equiv - \sum_{i < j} (y_{u_i}^2 - y_{u_j}^2) (y_{d_i}^2 - y_{d_j}^2) \sin^2 \theta_{ij} ,$

$$\begin{aligned} P_{int} \equiv & \sum_{i < j, k} (y_{d_i}^2 - y_{d_k}^2) (y_{u_j}^2 - y_{u_k}^2) \sin^2 \theta_{ik} \sin^2 \theta_{jk} + \\ & - (y_d^2 - y_s^2) (y_c^2 - y_t^2) \sin^2 \theta_{12} \sin^2 \theta_{13} \sin^2 \theta_{23} + \\ & + \frac{1}{2} (y_d^2 - y_s^2) (y_c^2 - y_t^2) \cos \delta \sin 2\theta_{12} \sin 2\theta_{23} \sin \theta_{13} , \end{aligned}$$

Sad conclusions as for 2 families:

needs non-renormalizable + super fine-tuning

Y --> one single field Σ

Spectrum for flavons Σ in the bifundamental:

*** 3 generations: for the largest fraction of the parameter space, the stable solution is a degenerate spectrum**

$$\begin{pmatrix} y_u & & \\ & y_c & \\ & & y_t \end{pmatrix} \sim \begin{pmatrix} y & & \\ & y & \\ & & y \end{pmatrix}$$

instead of the observed hierarchical spectrum, i.e.

$$\begin{pmatrix} y_u & & \\ & y_c & \\ & & y_t \end{pmatrix} \sim \begin{pmatrix} 0 & & \\ & 0 & \\ & & y \end{pmatrix}$$

(at leading order)

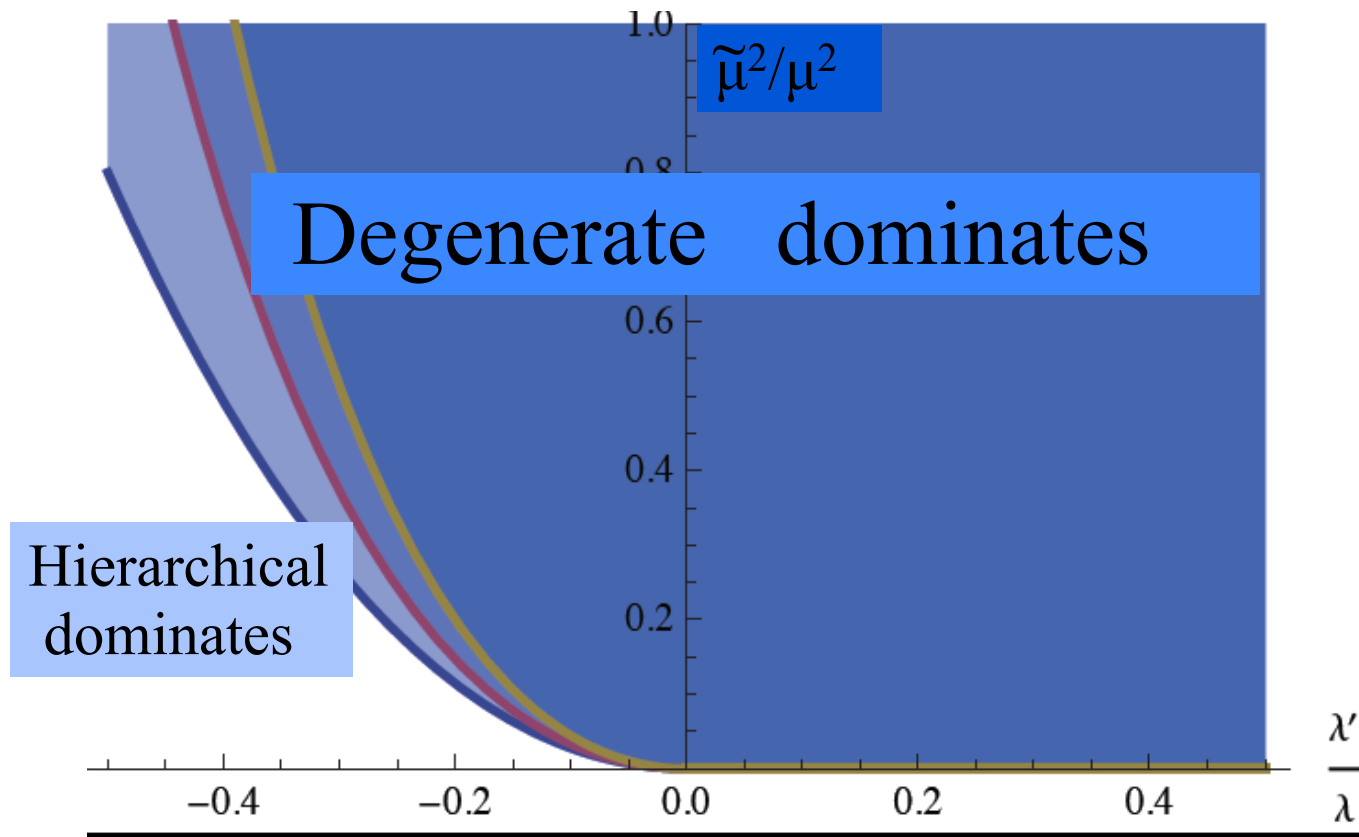
Spectrum: the hierarchical solution is unstable in most of the parameter space.

Stability: $\frac{\tilde{\mu}^2}{\mu^2} < \frac{2\lambda'^2}{\lambda}$

$$V^{(4)} = \sum_{i=u,d} (-\mu_i^2 A_i + \tilde{\mu}_i B_i + \lambda_i A_i^2 + \lambda'_i A_{ii}) + g_{ud} A_u A_d + \lambda_{ud} A_{ud}.$$

ie, the u-part:

$$V^{(4)} = -\mu_u^2 A_u + \tilde{\mu}_u B_u + \lambda_u A_u^2 + \lambda'_u A_{uu}$$



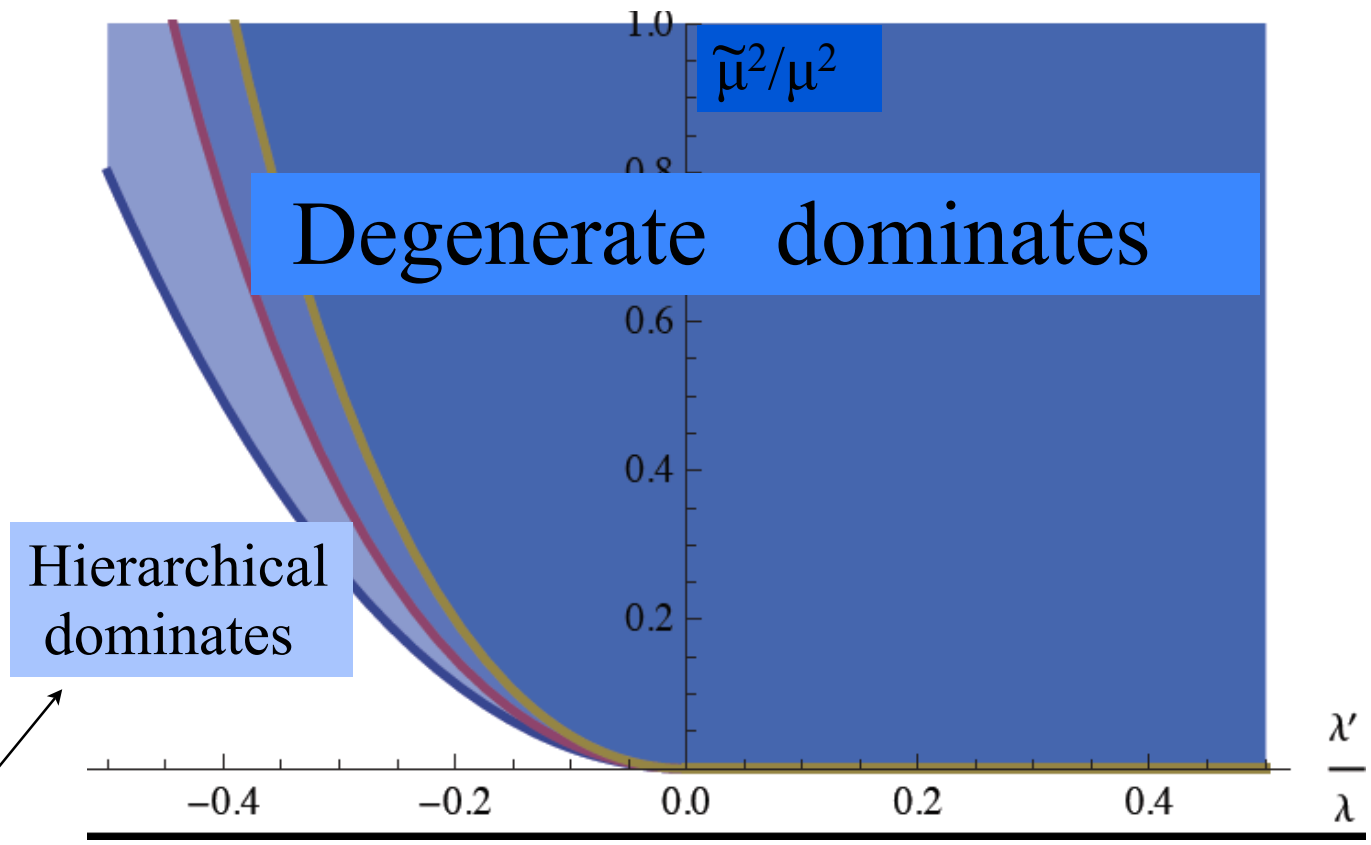
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ie, the u-part:

$$V^{(4)} = -\mu_u^2 A_u + \tilde{\mu}_u B_u + \lambda_u A_u^2 + \lambda'_u A_{uu}$$



Nardi emphasized this solution (and extended the analysis to include also U(1) factors)

The real, unavoidable, problem is again mixing:

* Just one source:

$$\text{Tr} \left(\sum_u \sum_u^+ \sum_d \sum_d^+ \right) = \Lambda_f^4 (P_0 + P_{int})$$

P_0 and P_{int} encode the angular dependence,

$$P_0 \equiv - \sum_{i < j} \left(y_{u_i}^2 - y_{u_j}^2 \right) \left(y_{d_i}^2 - y_{d_j}^2 \right) \sin^2 \theta_{ij} ,$$

$$\begin{aligned} P_{int} \equiv & \sum_{i < j, k} \left(y_{d_i}^2 - y_{d_k}^2 \right) \left(y_{u_j}^2 - y_{u_k}^2 \right) \sin^2 \theta_{ik} \sin^2 \theta_{jk} + \\ & - \left(y_d^2 - y_s^2 \right) \left(y_c^2 - y_t^2 \right) \sin^2 \theta_{12} \sin^2 \theta_{13} \sin^2 \theta_{23} + \\ & + \frac{1}{2} \left(y_d^2 - y_s^2 \right) \left(y_c^2 - y_t^2 \right) \cos \delta \sin 2\theta_{12} \sin 2\theta_{23} \sin \theta_{13} , \end{aligned}$$

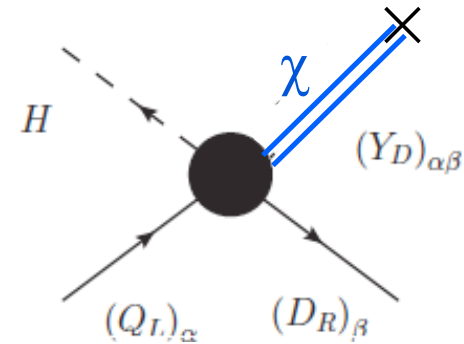
whose derivative \rightarrow all $\sin \theta = 0$ at the renormalizable level

Summary

--> **Dynamical** MFV scalars in the bifundamental of G_f do not provide realistic masses and mixings (at least in the minimal realization)

2) $Y \rightarrow$ quadratic in fields χ

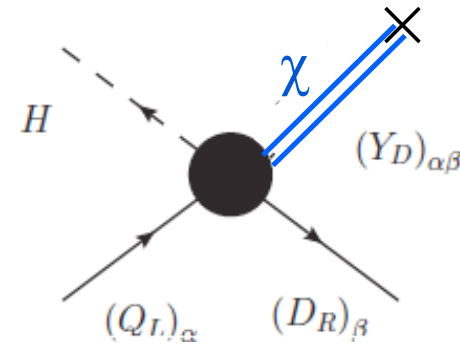
$$Y \sim \frac{\langle \chi \chi^\dagger \rangle}{\Lambda_f^2}$$



\rightarrow i.e. $Y_D \sim \frac{\chi^L_d (\chi^R_d)^\dagger}{\Lambda_f^2} \sim (3, 1, 1) (1, 1, \bar{3}) \sim (3, 1, \bar{3})$

2) $Y \rightarrow$ quadratic in fields χ

$$Y \sim \frac{\langle \chi \chi^\dagger \rangle}{\Lambda_f^2}$$



**→ Automatic strong mass hierarchy and one mixing angle !
already at the renormalizable level**

Holds for 2 and 3 families !

Y → quadratic in fields χ

It is very simple:

- a square matrix built out of 2 vectors

$$\begin{pmatrix} d \\ e \\ f \\ \vdots \end{pmatrix} (a, b, c \dots)$$

has only one non-vanishing eigenvalue



strong mass hierarchy at leading order:

-- only 1 heavy “up” quark

-- only 1 heavy “down” quark

only $|\chi|$'s relevant for scale

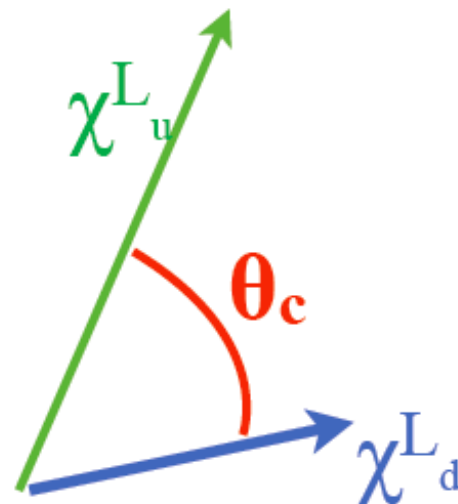
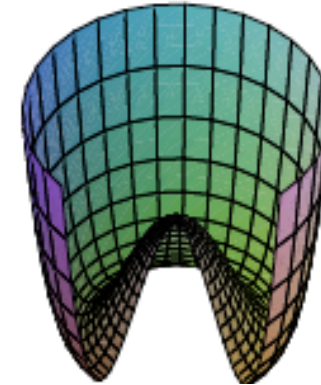
Y --> quadratic in fields χ

Minimum of the Potential

Dimension 6 Yukawa Operator

The invariants are:

$$\begin{aligned} &\chi_u^{L\dagger} \chi_u^L, & \chi_u^{R\dagger} \chi_u^R, & \chi_d^{L\dagger} \chi_d^L, \\ &\chi_d^{R\dagger} \chi_d^R, & \chi_u^{L\dagger} \chi_d^L = |\chi_u^L| |\chi_d^L| \cos \theta_c. \end{aligned}$$



θ_c is the angle between up and down L vectors

Y --> quadratic in fields χ

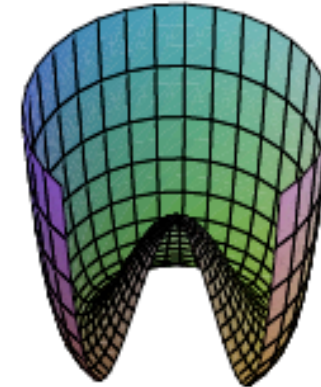
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$$\chi_d^{R\dagger} \chi_d^R, \quad \chi_u^{L\dagger} \chi_d^L = |\chi_u^L| |\chi_d^L| \cos \theta_c.$$



We can fit the angle and the masses in the Potential; as an example:

$$V' = \lambda_u \left(\chi_u^{L\dagger} \chi_u^L - \frac{\mu_u^2}{2\lambda_u} \right)^2 + \lambda_d \left(\chi_d^{L\dagger} \chi_d^L - \frac{\mu_d^2}{2\lambda_d} \right)^2$$

$$+ \lambda_{ud} \left(\chi_u^{L\dagger} \chi_d^L - \frac{\mu_{ud}^2}{2\lambda_{ud}} \right)^2 + \dots$$

Whose minimum sets (2 generations):

$$y_c^2 = \frac{\mu_u^2}{2\lambda_u \Lambda_f^2} \quad y_s^2 = \frac{\mu_d^2}{2\lambda_d \Lambda_f^2} \quad \cos \theta = \frac{\mu_{ud}^2 \sqrt{\lambda_u \lambda_d}}{\mu_u \mu_d \lambda_{ud}}$$

Y --> quadratic in fields χ

Towards a realistic 3 family spectrum

e.g. replicas of χ^L , χ_u^R , χ_d^R

???

Y --> quadratic in fields χ

Towards a realistic 3 family spectrum

e.g. replicas of χ^L , χ_u^R , χ_d^R

???

Suggests sequential breaking:

$$\text{SU}(3)^3 \xrightarrow{\text{mt, mb}} \text{SU}(2)^3 \xrightarrow{\text{mc, ms, } \theta_C} \dots\dots\dots$$

$$Y_u \equiv \frac{\langle \chi^L \rangle \langle \chi_u^{R\dagger} \rangle}{\Lambda_f^2} + \frac{\langle \chi_u'^L \rangle \langle \chi_u'^{R\dagger} \rangle}{\Lambda_f^2} = \begin{pmatrix} 0 & \sin \theta y_c & 0 \\ 0 & \cos \theta y_c & 0 \\ 0 & 0 & y_t \end{pmatrix}$$

$$Y_d \equiv \frac{\langle \chi^L \rangle \langle \chi_d^{R\dagger} \rangle}{\Lambda_f^2} + \frac{\langle \chi_d'^L \rangle \langle \chi_d'^{R\dagger} \rangle}{\Lambda_f^2} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_s & 0 \\ 0 & 0 & y_b \end{pmatrix} .$$

Y --> quadratic in fields χ

Towards a realistic 3 family spectrum

e.g. replicas of χ^L , χ_u^R , χ_d^R

???

Suggests sequential breaking:

$$\text{SU}(3)^3 \xrightarrow{\text{mt, mb}} \text{SU}(2)^3 \xrightarrow{\text{mc, ms, } \theta_C} \dots\dots\dots$$

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Maybe some connection to: Berezhiani+Nesti; Ferretti et al., Calibbi et al. ??

$$Y_d \equiv \frac{\langle \chi^L \rangle \langle \chi_d^{R\dagger} \rangle}{\Lambda_f^2} + \frac{\langle \chi_d'^L \rangle \langle \chi_d'^{R\dagger} \rangle}{\Lambda_f^2} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_s & 0 \\ 0 & 0 & y_b \end{pmatrix}.$$

Y --> linear + quadratic in fields

Towards a realistic 3 family spectrum

Combining fundamentals and bi-fundamentals

i.e. combining $d=5$ and $d=6$ Yukawa operators

$$\Sigma_u \sim (3, \bar{3}, 1), \quad \Sigma_d \sim (3, 1, \bar{3}), \quad \Sigma_R \sim (1, 3, \bar{3}),$$

$$\chi_u^L \in (3, 1, 1), \quad \chi_u^R \in (1, 3, 1), \quad \chi_d^L \in (3, 1, 1), \quad \chi_d^R \in (1, 1, 3).$$

The Yukawa Lagrangian up to the second order in $1/\Lambda_f$ is given by:

$$\mathcal{L}_Y = \bar{Q}_L \left[\frac{\Sigma_d}{\Lambda_f} + \frac{\chi_d^L \chi_d^{R\dagger}}{\Lambda_f^2} \right] D_R H + \bar{Q}_L \left[\frac{\Sigma_u}{\Lambda_f} + \frac{\chi_u^L \chi_u^{R\dagger}}{\Lambda_f^2} \right] U_R \tilde{H} + \text{h.c.},$$

* From bifundamentals: $\langle \Sigma_u \rangle = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & y_t \end{pmatrix}$

$$\langle \Sigma_d \rangle = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & y_b \end{pmatrix}$$

* From fundamentals χ : y_c , y_s and θ_C

*** At leading (renormalizable) order:**

$$Y_u \equiv \frac{\langle \Sigma_u \rangle}{\Lambda_f} + \frac{\langle \chi_u^L \rangle \langle \chi_u^{R\dagger} \rangle}{\Lambda_f^2} = \begin{pmatrix} 0 & \sin \theta_c y_c & 0 \\ 0 & \cos \theta_c y_c & 0 \\ 0 & 0 & y_t \end{pmatrix},$$
$$Y_d \equiv \frac{\langle \Sigma_d \rangle}{\Lambda_f} + \frac{\langle \chi_d^L \rangle \langle \chi_d^{R\dagger} \rangle}{\Lambda_f^2} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & y_s & 0 \\ 0 & 0 & y_b \end{pmatrix}.$$

without unnatural fine-tunings

*** The masses of the first family and the other angles from non-renormalizable terms or other corrections or replicas ?**

Are these constructions non-minimal MFV? NMFV

* When the Yukawa is a combination, the interpretation of the minima of the potential is not straightforward

* **Fundamentals χ lead to different hierarchy of FCNC operators than bifundamentals Σ :**

$$\bar{D}_R \Sigma_d^\dagger \Sigma_u \Sigma_u^\dagger Q_L \sim [\text{mass}]^6 \quad \longleftrightarrow \quad \bar{D}_R \chi_d^R \chi_u^{L\dagger} Q_L \sim [\text{mass}]^5$$

- possible different phenomenology than for minimal MFV

What is the scalar potential of MFV including Majorana ν s?

- Work ongoing right now

- It should allow to answer the question - within MFV - of whether leptonic mixing differs from quark mixing because of the different nature of mass

Conclusions

We constructed the general Scalar Potential for MFV and explored its minima

* **The flavor symmetry imposes strong restrictions: just a few invariants allowed at the renormalizable and non-renormalizable level. Quark masses and mixings difficult to accommodate**

* **Flavons in the bifundamental alone ($Y \sim \langle \Sigma \rangle / \Lambda_f$) do NOT lead naturally to realistic mixing**

* **Flavons in the fundamental are tantalizing ($Y \sim \langle \chi^2 \rangle / \Lambda^2$), inducing naturally:**

- **strong mass hierarchy**
- **non-trivial mixing !!**

-- We are exploring the leptonic MFV scalar potential

Back-up slides

In fact, MFV **assumes** more, e.g. **top dominance**:

$$\left[Y^u (Y^u)^\dagger \right]_{i \neq j}^n \approx y_t^{2n} V_{ti}^* V_{tj}$$

(Isidori)

$$\longrightarrow \mathcal{A}(d^i \rightarrow d^j)_{\text{MFV}} = (V_{ti}^* V_{tj}) \mathcal{A}_{\text{SM}}^{(\Delta F=1)} \left[1 + a_1 \frac{16\pi^2 M_W^2}{\Lambda^2} \right]$$

O(1)

\longrightarrow d-d \sim s-d \sim b-s transitions of \sim equal strength

while it may not be so...

**for instance for SM+ 2 Higgses (automatic Z_3) light quarks
may dominate**

(Branco, Grimus, Lavoura)

Minimal Flavour violation (MFV)

- Unitarity of CKM first row:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9999 \pm 0.0006$$

- *Restrict to flavour blind ops. \rightarrow 4 operators
 - Correction is only multiplicative to β and μ decay rate
-
- **The direct experimental limit puts strong constraints on all 4 operators, at the level of the colliders constraints or better.**

$$\Delta_{CKM} = -(0.1 \pm 0.6) \cdot 10^{-3}$$



$$\Lambda_i^{eff} > 11 \text{ TeV (90\% CL)}$$

Y --> one single field Σ

Dimension 5 Yukawa operator

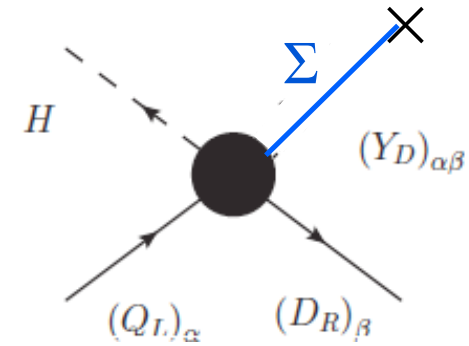
Σ are bifundamentals of G_f :

$$\overline{Q}_L \frac{\Sigma_u}{\Lambda} U_R H \quad \Sigma_u \sim (3, \overline{3}, 1)$$

\uparrow
 Y_u

$$\overline{Q}_L \frac{\Sigma_d}{\Lambda} D_R H \quad \Sigma_d \sim (3, 1, \overline{3})$$

\uparrow
 Y_d



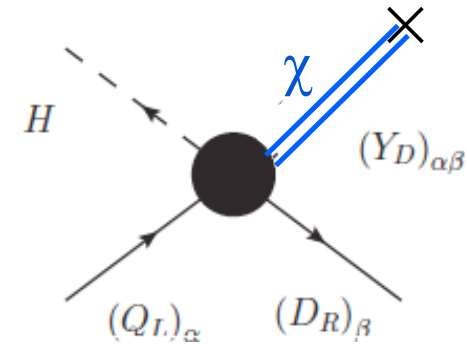
$\text{? } V(\Sigma_u \Sigma_u H) \text{?}$

Y --> quadratic in fields χ

Dimension 6 Yukawa operator

χ are fundamentals of G_f : vectors, similar to quarks and leptons

$$\mathcal{L}_Y = \bar{Q}_L \frac{\chi_d^L \chi_d^{R\dagger}}{\Lambda_f^2} D_R H + \bar{Q}_L \frac{\chi_u^L \chi_u^{R\dagger}}{\Lambda_f^2} U_R \tilde{H} + \text{h.c.},$$



i.e.
$$Y_D \sim \frac{\chi_d^L (\chi_d^R)^+}{\Lambda_f^2} \sim (3, 1, 1) (1, 1, \bar{3}) \sim (3, 1, \bar{3})$$

$$\chi_u^L, \chi_d^L \sim (3, 1, 1); \quad \chi_u^R \sim (1, 3, 1); \quad \chi_d^R \sim (1, 1, 3)$$

Y --> quadratic in fields χ

Fundamental Fields

Dimension 6 Yukawa Operator

It holds also for 3 families: one heavy “up”, one heavy “down”, one angle

$$Y_D = \frac{\langle \chi_d^L \chi_d^{R\dagger} \rangle}{\Lambda_f^2} \quad Y_U = \frac{\langle \chi_u^L \chi_u^{R\dagger} \rangle}{\Lambda_f^2}$$

The Yukawas are composed of two ‘vectors’. Such a structure has only one eigenvalue, **one mass**. This fact becomes evident when rotating the v.e.v.s of the fields to the form:

$$V_L^\dagger Y_D V_{D_R} = \frac{|\chi_d^L| |\chi_d^R|}{\Lambda^2} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$
$$V_L^\dagger Y_U V_{U_R} = \frac{|\chi_u^L| |\chi_u^R|}{\Lambda_f^2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

This means a **hierarchy** among the **masses** and **an angle only** by **construction!** **already at renormalizable level**

Can its minimum correspond naturally to the observed masses and mixings?

i.e. with all dimensionless λ 's ~ 1

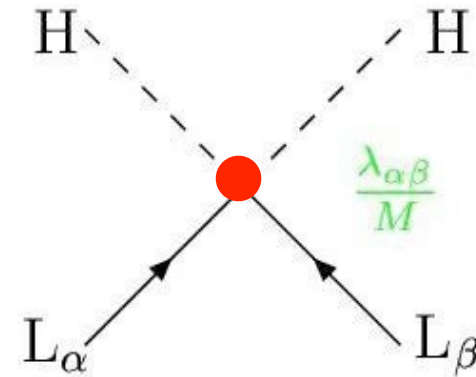
and dimensionful μ 's $\leq \Lambda_f$

ν masses beyond the SM

The Weinberg operator

Dimension 5 operator:

$$\lambda/M \underbrace{(\text{L L H H})}_{\text{O}_{d=5}} \rightarrow \lambda \nu^2/M (\nu\nu)$$



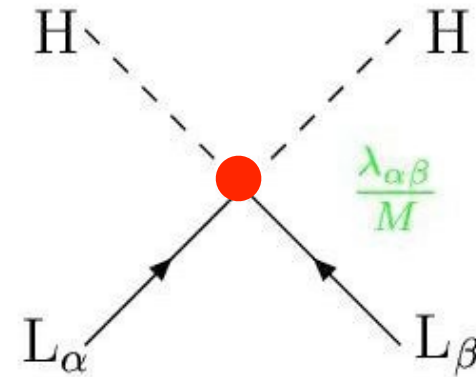
It's unique → very special role of ν masses:
lowest-order effect of higher energy physics

ν masses beyond the SM

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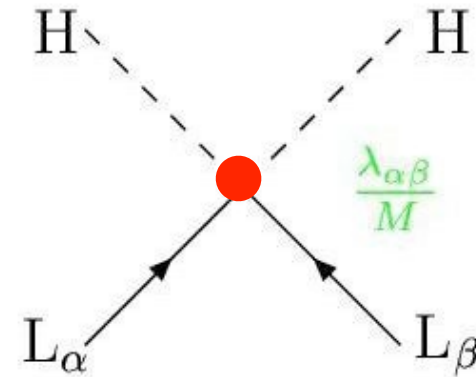
This mass term **violates lepton number (B-L)**
→ **Majorana neutrinos**

ν masses beyond the SM

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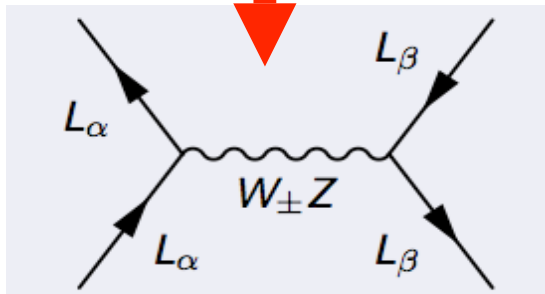
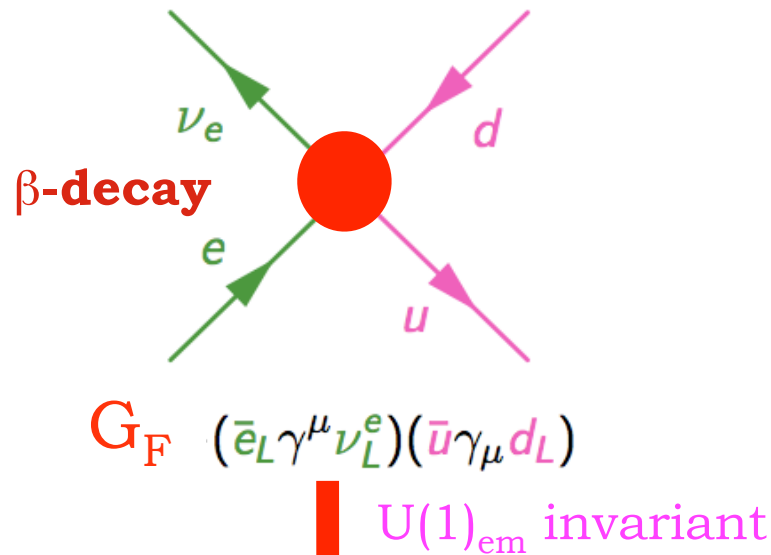


It's unique \rightarrow very special role of ν masses:
lowest-order effect of higher energy physics

This mass term **violates lepton number (B-L)**
 \rightarrow **Majorana neutrinos**

$\mathbf{O}^{d=5}$ is common to all models of Majorana ν s

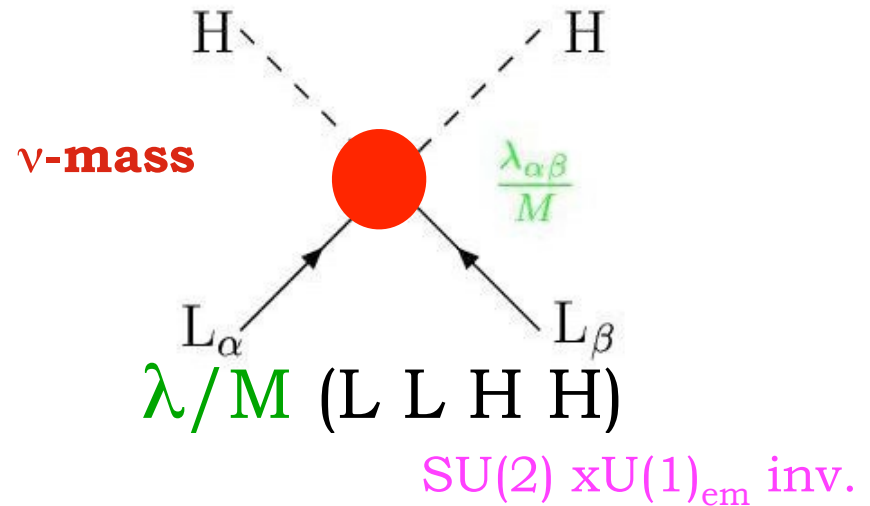
From the Fermi theory to SM



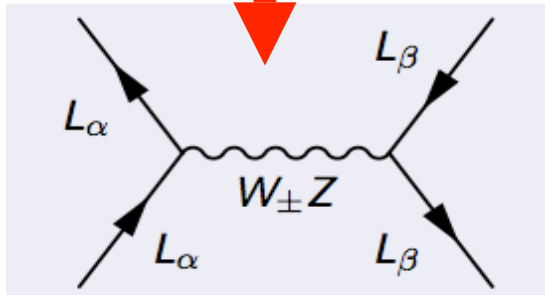
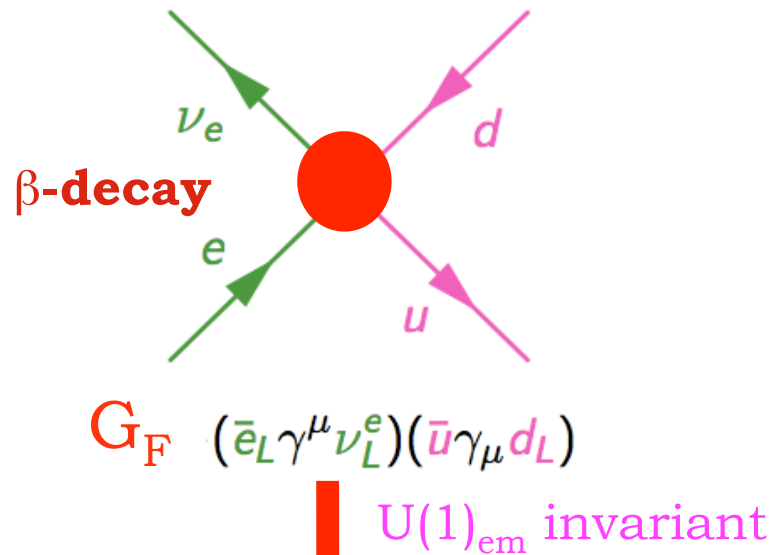
$$\frac{g^2}{M_W^2} (L_\alpha \gamma_\mu L_\alpha) (Q_{L\beta} \gamma_\mu Q_\beta)$$

$SU(2) \times U(1)_{em}$ gauge invariant

From Majorana masses to Seesaw



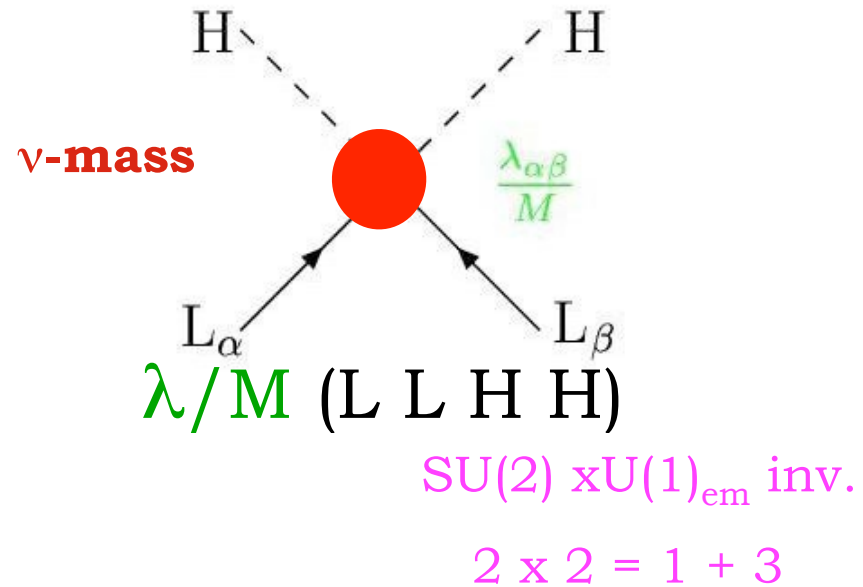
From the Fermi theory to SM



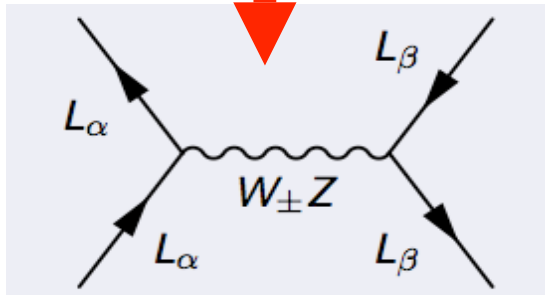
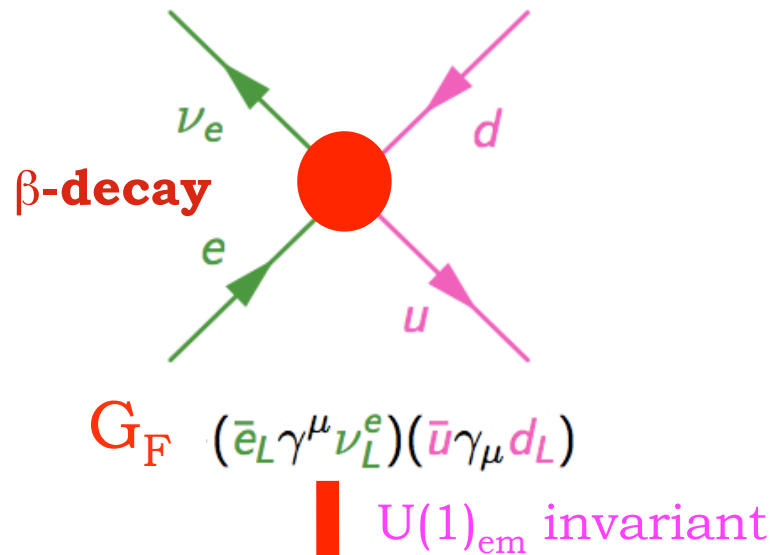
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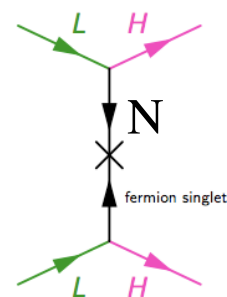
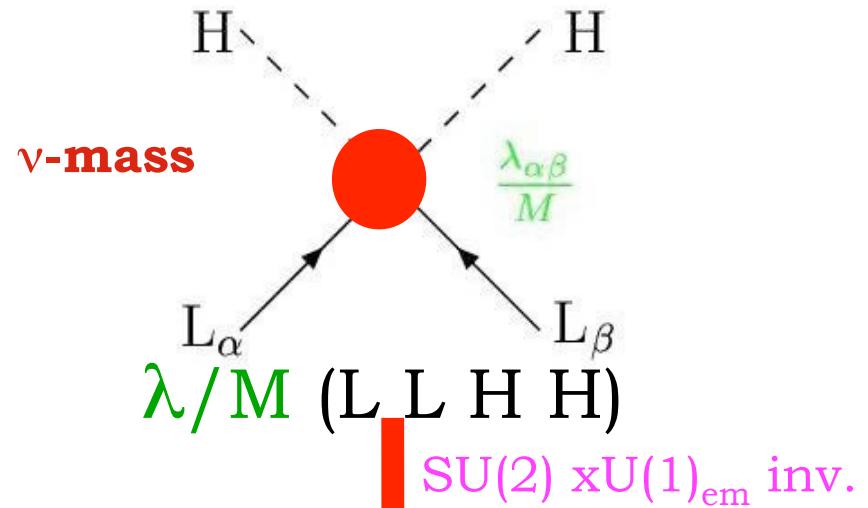
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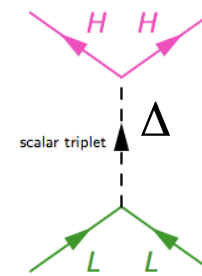
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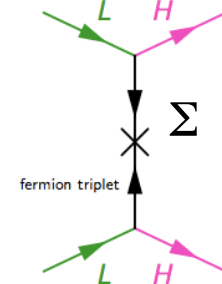
From Majorana masses to Seesaw



Type I



Type II



Type III

Seesaw models